

Nested Refinements: A Logic for Duck Typing

Ravi Chugh, Pat Rondon, Ranjit Jhala (UCSD)

Terminology

record

fields

“dictionary” = finite map from **“keys”** to values
properties

“object” = mutable dictionary
imperative

JavaScript has **“prototype”** inheritance
delegation

Types for JavaScript: Approach

Dependent JavaScript (DJS)

Guha et al. (ECOOP 2010)

lambdas

dictionaries

tag tests

System D

(POPL 2012)

mutation

prototypes

System !D

(In Submission)

$x :: \{ v \mid \text{tag}(v) = \text{"Int"} \vee \text{tag}(v) = \text{"Bool"} \}$

$d :: \{ v \mid \text{tag}(v) = \text{"Dict"} \wedge \text{tag}(\text{sel}(v, n)) = \text{"Int"} \wedge \text{tag}(\text{sel}(v, m)) = \text{"Int"} \}$

lambdas
dictionaries
tag tests

System D

(POPL 2012)

Approach: All types as quantifier-free formulas

Challenge: Functions inside dictionaries

Key Idea: Nested Refinements

$$1 + d[f](\emptyset)$$

$d ::$

$\{v \mid \text{tag}(v) = \text{"Dict"}\}$

$\wedge \text{sel}(v, f) ::$

$\{v \mid \text{tag}(v) = \text{"Int"}\}$

$\rightarrow \{v \mid \text{tag}(v) = \text{"Int"}\}$

uninterpreted predicate
“ $x :: U$ ” says
“ x has-type U ”

uninterpreted constant
in the logic...

... but syntactic arrow
in the type system

Key Idea: Nested Refinements

- All values described by refinement formulas

$$T ::= \boxed{\{ v \mid p \}}$$

- “Has-type” predicate for arrows in formulas

$$p ::= \dots \mid x :: \boxed{y : \boxed{T_1} \rightarrow \boxed{T_2}}$$

- Can express several scripting idioms
- Automatic type checking
 - Decidable refinement logic
 - Subtyping = SMT Validity + Syntactic Subtyping

$x: \{ v \mid \text{tag}(v) = \text{"number"} \vee \text{tag}(v) = \text{"boolean"} \}$
 $\rightarrow \{ v \mid \text{tag}(v) = \text{tag}(x) \}$

$x: \text{IntOrBool} \rightarrow \{ v \mid \text{tag}(v) = \text{tag}(x) \}$

```
function negate (x) {  
  if [typeof x == "number"] { return 0 - x; }  
  else { return !x; }  
}
```

typeof :: $y: \text{Top} \rightarrow \{ v \mid v = \text{tag}(y) \}$

typeof :: $y: \{ v \mid \text{true} \} \rightarrow \{ v \mid v = \text{tag}(y) \}$

typeof :: $\{ v \mid v :: y: \{ v \mid \text{true} \} \rightarrow \{ v \mid v = \text{tag}(y) \} \}$

$x:\text{IntOrBool} \rightarrow \{v \mid \text{tag}(v) = \text{tag}(x)\}$

```
function negate (x) {  
  if (typeof x == "number") { return 0 - x; }  
  else { return !x; }  
}
```

$x :: \{v \mid \text{Int}(v)\}$

$0 - x :: \{v \mid \text{Int}(v)\}$

$x:\text{IntOrBool} \rightarrow \{v \mid \text{tag}(v) = \text{tag}(x)\}$

```
function negate (x) {  
  if (typeof x == "number") { return 0 - x; }  
  else { return !x; }  
}
```

$x :: \{v \mid \text{Bool}(v)\}$

$!x :: \{v \mid \text{Bool}(v)\}$

$(x:\text{Dict}, c:\text{Str}) \rightarrow \text{Int}$

```
function getCount (x,c) {  
  if (c in x) { return toInt(x[c]); }  
  else { return 0; }  
}
```

safe dictionary
key lookup

$\{ v \mid v = \text{true} \Leftrightarrow \text{has}(x, c) \}$

$(x:\text{Dict}, c:\text{Str}) \rightarrow \text{Int}$

```
function getCount (x,c) {  
  if (c in x) { return toInt(x[c]); }  
  else      { return 0; }  
}
```

$\text{tag}(\text{sel}(d,c)) = \text{"Int"}$

$(x:\text{Dict}, c:\text{Str}) \rightarrow \{v \text{ EqMod}(v, x, c) \text{ Int}(d[c])\}$

```
function incCount (x,c) {  
  var i = getCount(x,c);  
  return {x with c = i + 1};  
}
```

Adding Type Constructors

$T ::= \boxed{\{v \mid p\}}$

$p ::= \dots \mid x :: U$

$U ::= \boxed{y:T_1 \rightarrow T_2} \mid \boxed{A} \mid \boxed{\text{List } T} \mid \boxed{\text{Null}}$

```
function apply (f,x) { return f(x); }
```

$$\forall A, B. (\{v \mid v :: \{v \quad v :: A\} \rightarrow \{v \quad v :: B\}\}, \{v \quad v :: A\}) \rightarrow \{v \quad v :: B\}$$
$$\forall A, B. ((A \rightarrow B), A) \rightarrow B$$

```
function dispatch (d, f) { return d[f](d); }
```

$$\forall A, B. (d: \{ v \mid v :: A \}, \{ v \mid d[v] :: A \rightarrow B \}) \rightarrow \{ v \mid v :: B \}$$

a form of
“bounded quantification”

$d :: A$ but additional constraints on A

$\approx \forall A <: \{ f: A \rightarrow B \}. d :: A$

$\forall A, B.$

$(\{v \mid v :: A \rightarrow B\}, \{v \mid v :: \text{List}[A]\}) \rightarrow \{v \mid v :: \text{List}[B]\}$

$\forall A, B. (A \rightarrow B), \text{List}[A] \rightarrow \text{List}[B]$

```
function map (f,xs) {  
  if (xs == null) { return null; }  
  else {  
    return f(xs["hd"]) :: map (f,xs["tl"]);  
  }  
}
```

↑ ↑
encode recursive data as dictionaries

```

function filter (f,xs) {
  if (xs == null) { return null; }
  else if (f(xs["hd"])) {
    return xs["hd"] :: filter(f,xs["tl"]);
  } else {
    return filter(f,xs["tl"]);
  }
}

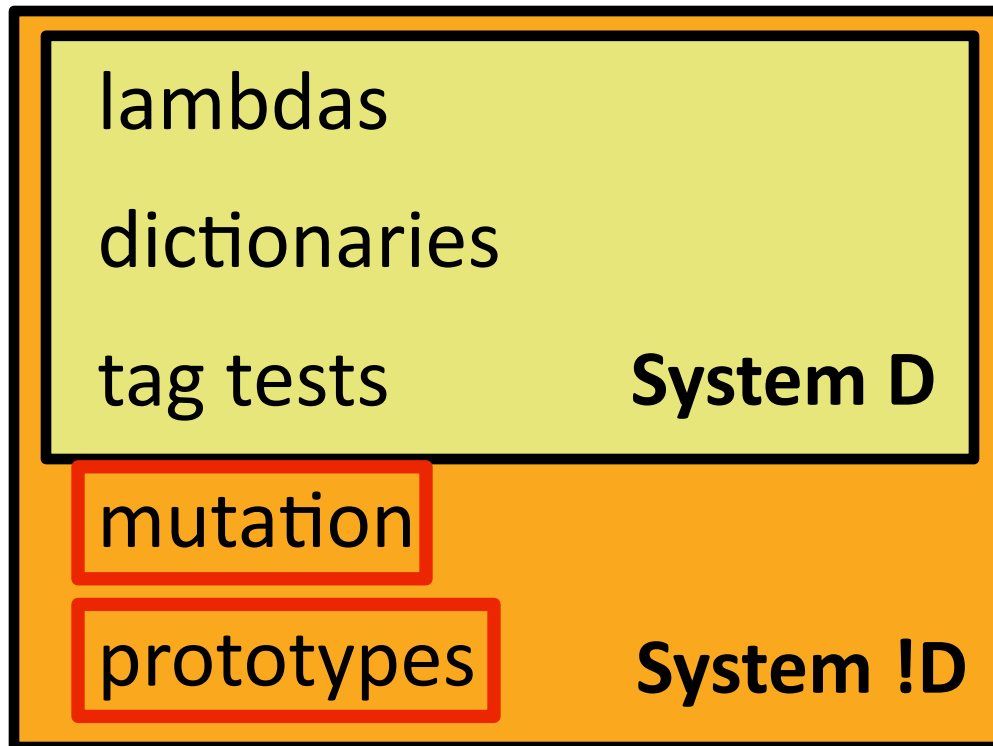
```

usual definition,
but an interesting type*

$\forall A, B. ((x:A \rightarrow \{ v \mid v = \text{true} \Rightarrow x :: B \}), \text{List}[A]) \rightarrow \text{List}[B]$

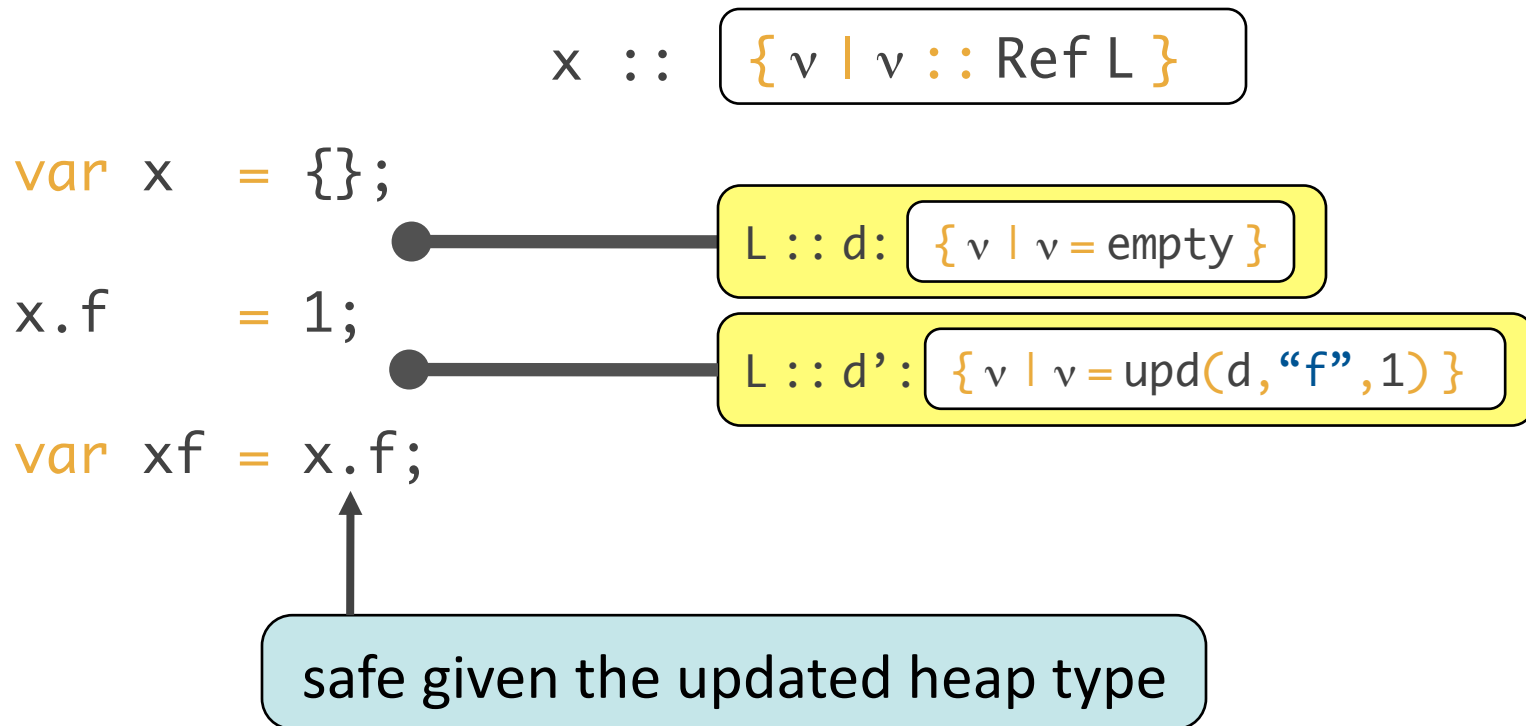
*Tobin Hochstadt and Felleisen (POPL 2008)


```
Object.beget = function(o) {  
  var F = function(o) { return this; };  
  F.prototype = o;  
  return new F();  
}
```



Strong Update à la Alias Types

- Types are flow-insensitive (as usual)
- But heap types are flow-sensitive
 - Mappings from locations to types can change



Prototype Chain Unrolling

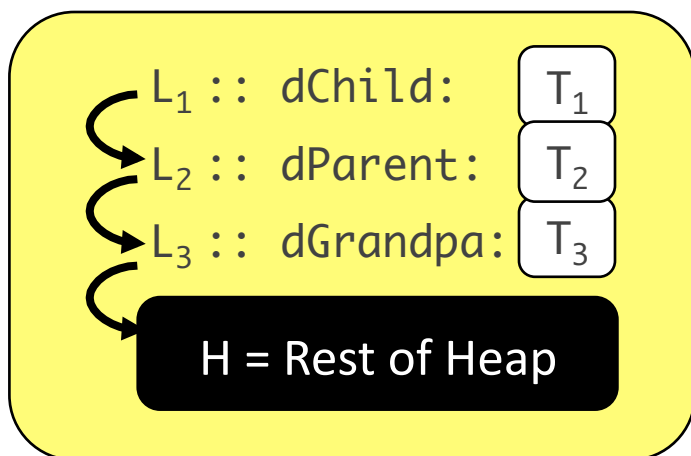
```
var granpda = ...;  
var parent  = beget(granpda);  
var child   = beget(parent);
```

grandpa :: $\{v \mid v :: \text{Ref } L_3\}$

parent :: $\{v \mid v :: \text{Ref } L_2\}$

child :: $\{v \mid v :: \text{Ref } L_1\}$

(k in child)



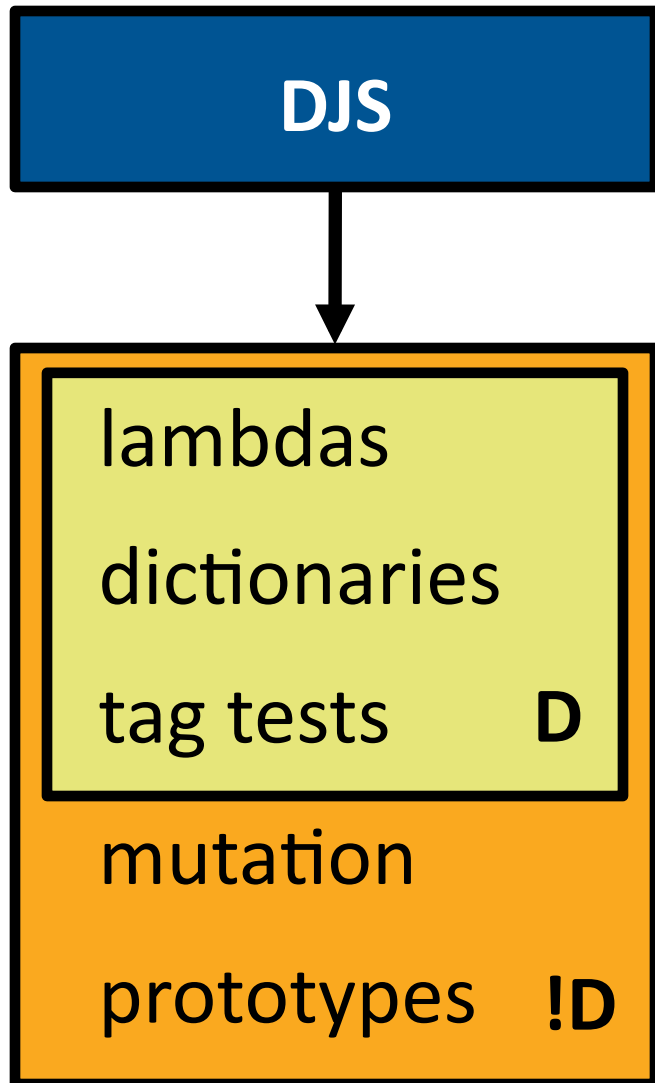
has(dChild, k) v

has(dParent, k) v

has(dGrandpa, k) v

HeapHas(H, L₄, k)

Recap / Future Work



Applications so far:

Inheritance patterns, JS: Good Parts

Future work:

Microbenchmarks (e.g. SunSpider)

Libraries (e.g. Array.prototype)

Application to Browser Extensions

ES5/ES6 Features

Class-based Inheritance

More Local Type Inference

Thanks!

Thanks to Brown PLT for the LambdaJS tools!



ravichugh.com/nested

Extra Slides

Constants

tagof :: $x:\text{Top} \rightarrow \{v \mid v = \text{tag}(x)\}$

mem :: $d:\text{Dict} \rightarrow k:\text{Str} \rightarrow \{v \mid \text{Bool}(v) \wedge v = \text{True} \Leftrightarrow \text{has}(d, k)\}$

get :: $d:\text{Dict} \rightarrow k:\{v \mid \text{Str}(v) \wedge \text{has}(d, v)\} \rightarrow \{v \mid v = \text{sel}(d, k)\}$

set :: $d:\text{Dict} \rightarrow k:\text{Str} \rightarrow x:\text{Top} \rightarrow \{v \mid v = \text{upd}(d, k, x)\}$

rem :: $d:\text{Dict} \rightarrow k:\text{Str} \rightarrow \{v \mid v = \text{upd}(d, k, \text{bot})\}$

Macros

- Types

$$\text{Int} \equiv \{ v \mid \text{tag}(v) = \text{"Int"} \}$$

$$x : T_1 \rightarrow T_2 \equiv \{ v \mid v :: x : T_1 \rightarrow T_2 \}$$

- Formulas

$$\text{Str}(x) \equiv \text{tag}(x) = \text{"Str"}$$

$$\text{has}(d, k) \equiv \text{sel}(d, k) \neq \text{bot}$$

$$\text{EqMod}(d, d', k) \equiv \forall k'. k' \neq k \Rightarrow \text{sel}(d, k) \neq \text{sel}(d', k)$$

- Logical Values

$$x.k \equiv \text{sel}(v, \text{"k"})$$

$$x[k] \equiv \text{sel}(v, k)$$

Onto

functional version of Dojo function

```
let onto callbacks f obj =  
  if f = null then  
    new List(obj, callbacks)  
  else  
    let cb = if tagof f = "Str" then obj[f] else f in  
    new List(fun () -> cb obj, callbacks)
```

onto ::

```
∀A. callbacks:List[Top → Top]  
→ f: { v | Str(v) v v :: A → Top }  
→ obj: { v | v :: A  
        ∧ (f = null ⇒ v :: A → Int)  
        ∧ (Str(f) ⇒ v[f] :: A → Int) }  
→ List[Top → Top]
```

Onto (2)

functional version of Dojo function

```
let onto (callbacks,f,obj) =  
  if f = null then  
    new List(obj,callbacks)  
  else  
    let cb = if tagof f = "Str" then obj[f] else f in  
    new List(fun () -> cb obj, callbacks)
```

onto ::




```
callbacks:List[Top → Top]  
* f:{ g | Str(g) ∨ g :: { x | x = obj } → Top }  
* obj:{ o | (f = null ⇒ o :: { x | x = o } → Int)  
          ^ (Str(f) ⇒ o[f] :: { x | x = o } → Int) }  
→ List[Top → Top]
```

Related Work

- TODO

Traditional vs. Nested Refinements

Approach: Refinement Types

- Reuse refinement type architecture
- Find a decidable refinement logic for
 - Tag-tests 
 - Dictionaries 
 - Lambdas 
- Define **nested** refinement type architecture

Nested Refinements

- Refinement formulas over a decidable logic
 - uninterpreted functions, McCarthy arrays, linear arithmetic
- **All values** refined by formulas

$T ::= \{v \mid p\}$
 $U ::= x:T_1 \rightarrow T_2$
 $p ::= p \wedge q \mid \dots$
| $x = y \mid x < y \mid \dots$
| $\text{tag}(x) = \text{"Int"} \mid \dots$
| $\text{sel}(x, y) = z \mid \dots$

$T ::= \{v \mid p\}$
| $x:T_1 \rightarrow T_2$
 $p ::= p \wedge q \mid \dots$
| $x = y \mid x < y \mid \dots$
| $\text{tag}(x) = \text{"Int"} \mid \dots$
| $\text{sel}(x, y) = z \mid \dots$

traditional refinements

Nested Refinements

- Refinement formulas over a decidable logic
 - uninterpreted functions, McCarthy arrays, linear arithmetic
- **All values** refined by formulas
- “has-type” allows “type terms” in formulas

$$\begin{aligned} T & ::= \{v \mid p\} \\ U & ::= x:T_1 \rightarrow T_2 \\ p & ::= p \wedge q \mid \dots \\ & \mid x = y \mid x < y \mid \dots \\ & \mid \text{tag}(x) = \text{“Int”} \mid \dots \\ & \mid \text{sel}(x,y) = z \mid \dots \\ & \mid \boxed{x :: U} \end{aligned}$$
$$\begin{aligned} T & ::= \{v \mid p\} \\ & \mid x:T_1 \rightarrow T_2 \\ p & ::= p \wedge q \mid \dots \\ & \mid x = y \mid x < y \mid \dots \\ & \mid \text{tag}(x) = \text{“Int”} \mid \dots \\ & \mid \text{sel}(x,y) = z \mid \dots \end{aligned}$$

traditional refinements

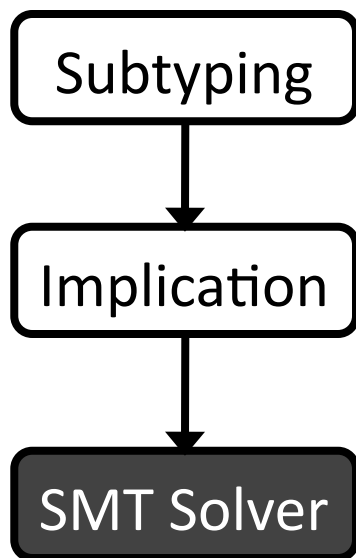
Nested Refinements

- Refinement formulas over a decidable logic
 - uninterpreted functions, McCarthy arrays, linear arithmetic
- **All values** refined by formulas
- “has-type” allows “type terms” in formulas

```
T ::= { v | p }
U ::= x:T1 → T2
p ::= p ∧ q | ...
    | x = y | x < y | ...
    | tag(x) = “Int” | ...
    | sel(x,y) = z | ...
    | x :: U
```


Subtyping

Subtyping



$$\frac{\text{tag}(v) = \text{"Int"} \Rightarrow \text{true}}{\text{Int} <: \text{Top}}$$

$$T ::= \{v \mid p\} \\ \mid x:T_1 \rightarrow T_2$$

traditional refinements

$$\text{Int} \equiv \{v \mid \text{tag}(v) = \text{"Int"}\}$$
$$\text{Top} \equiv \{v \mid \text{true}\}$$

Subtyping

Subtyping

Implication

SMT Solver

$$\frac{\text{tag}(v) = \text{"Int"} \Rightarrow \text{true}}{\text{Int} <: \text{Top}}$$
$$\frac{\text{tag}(v) = \text{"Int"} \Rightarrow \text{tag}(v) = \text{"Int"}}{\text{Int} <: \text{Int}}$$

Int <: Top

Int <: Int

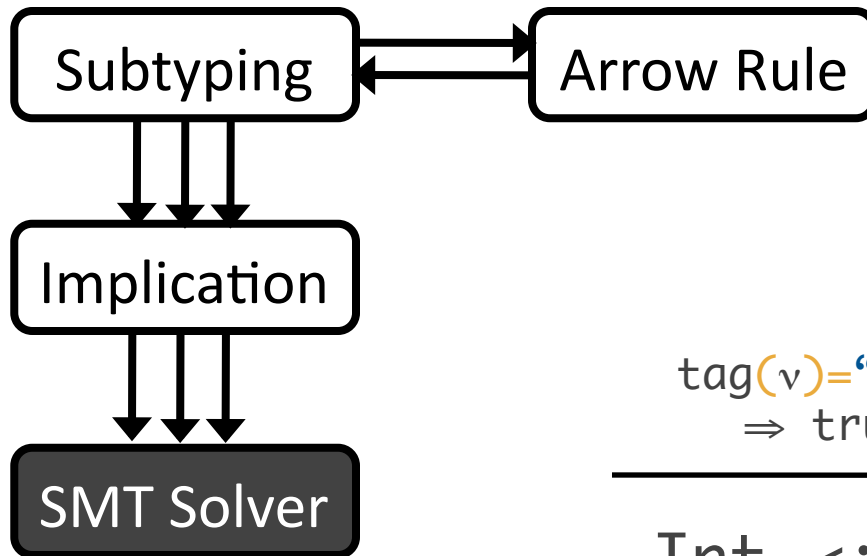
Top \rightarrow Int <: Int \rightarrow Int

$$T ::= \{v \mid p\} \\ \mid x:T_1 \rightarrow T_2$$

traditional refinements

$$\text{Int} \equiv \{v \mid \text{tag}(v) = \text{"Int"}\}$$
$$\text{Top} \equiv \{v \mid \text{true}\}$$

Subtyping



$$\begin{array}{c}
 \frac{\text{tag}(v) = \text{"Int"} \Rightarrow \text{true}}{\text{Int} <: \text{Top}} \qquad \frac{\text{tag}(v) = \text{"Int"} \Rightarrow \text{tag}(v) = \text{"Int"}}{\text{Int} <: \text{Int}} \\
 \hline
 \text{Top} \rightarrow \text{Int} <: \text{Int} \rightarrow \text{Int}
 \end{array}$$

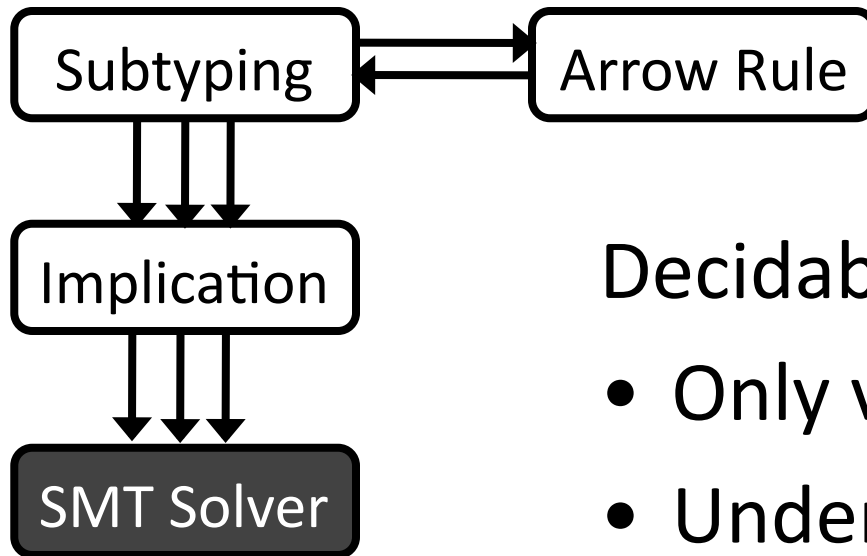
$$\begin{array}{l}
 T ::= \{v \mid p\} \\
 \quad \mid x:T_1 \rightarrow T_2
 \end{array}$$

traditional refinements

$$\text{Int} \equiv \{v \mid \text{tag}(v) = \text{"Int"}\}$$

$$\text{Top} \equiv \{v \mid \text{true}\}$$

Subtyping



Decidable if:

- Only values in formulas
- Underlying theories decidable

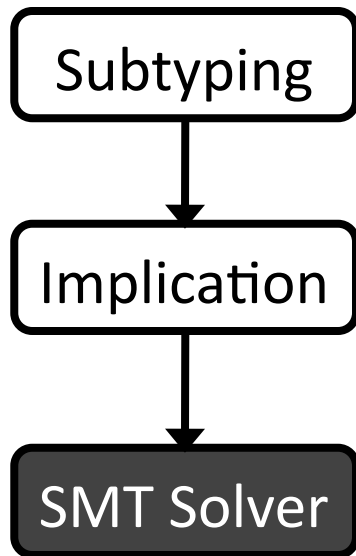
With nested refinements:

- No new theories
- But implication is imprecise!

$$T ::= \{v \mid p\}$$
$$| x:T_1 \rightarrow T_2$$

traditional refinements

Subtyping with Nesting



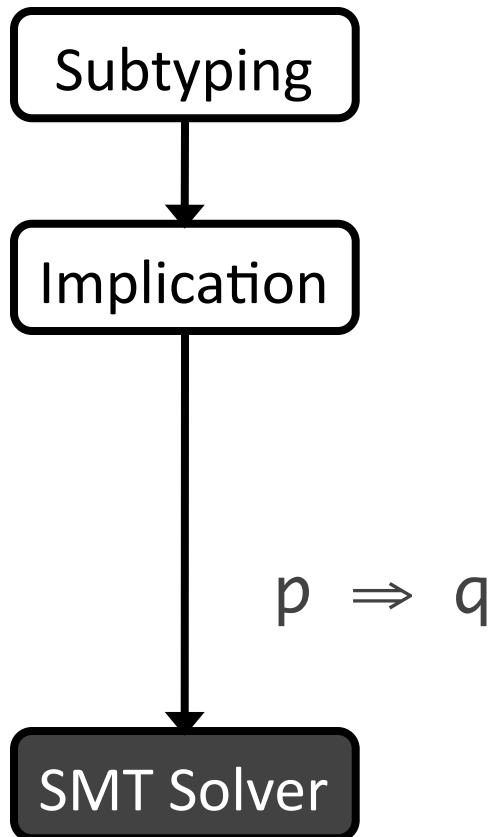
$v :: \text{Top} \rightarrow \text{Int} \not\Rightarrow v :: \text{Int} \rightarrow \text{Int}$

Invalid, as these are distinct
uninterpreted constants

Subtyping with Nesting

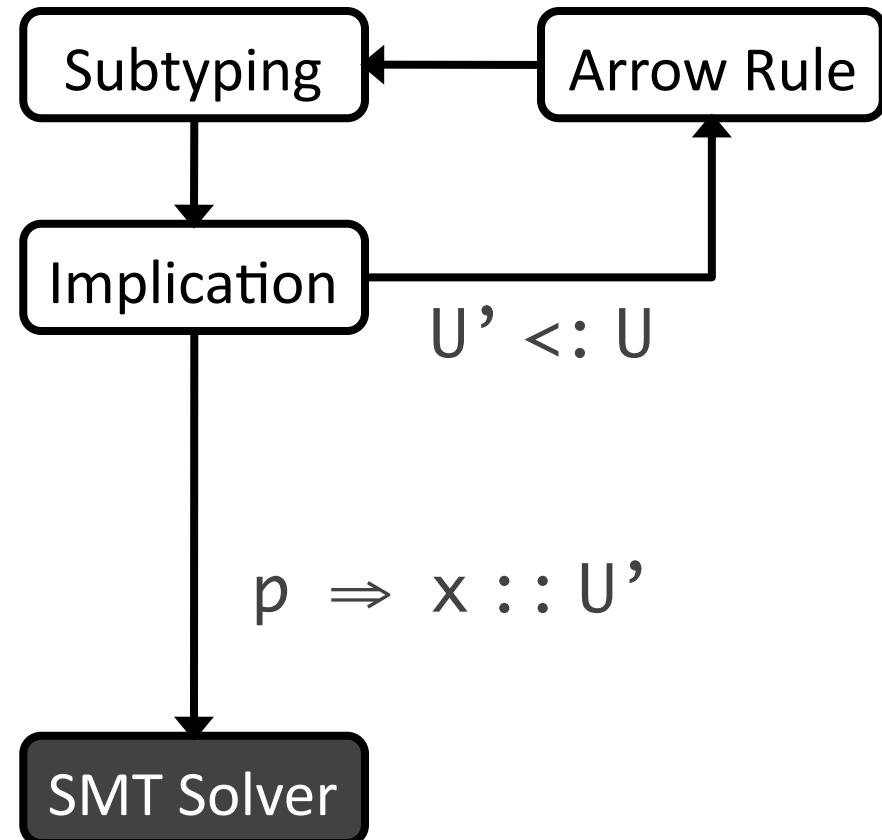
When goal is base predicate:

$$p \Rightarrow q$$



When goal is "has-type" predicate:

$$p \Rightarrow x :: U$$



Subtyping with Nesting

Uninterpreted
Reasoning

+

Syntactic
Reasoning

$$p \Rightarrow v :: \text{Top} \rightarrow \text{Int}$$
$$\text{Top} \rightarrow \text{Int} <: \text{Int} \rightarrow \text{Int}$$

$$p \Rightarrow v :: \text{Int} \rightarrow \text{Int}$$

Normalize formulas to
subdivide obligations appropriately

Normalization

- TODO

foo example

```
let foo f d =  
  if tagof f = "Str"  
  then d.n + d[f](0)  
  else d.n + f(0)
```

foo ::

```
T ::= {v | p}  
U ::= x:T1 → T2  
p ::= p ∧ q | ...  
  | x = y | x < y | ...  
  | tag(x) = "Int" | ...  
  | sel(x,y) = z | ...  
  | x :: U
```

```

let foo f d =
  if tagof f = "Str"
  then d.n + d[f](0)
  else d.n + T(0)

```

foo ::

$f : \{ v \mid \text{Str}(v) \} \rightarrow v : \text{Int} \rightarrow \text{Int}$

```

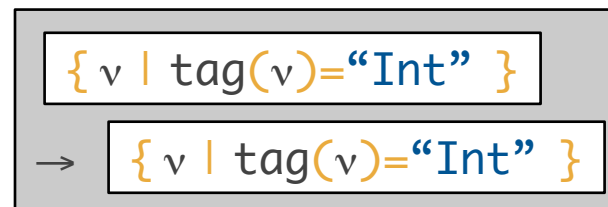
T ::= {v | p}
U ::= x:T1 → T2
p ::= p ∧ q | ...
    | x = y | x < y | ...
    | tag(x) = "Int" | ...
    | sel(x,y) = z | ...
    | x :: U

```

```

let foo f d =
  if tagof f = "Str"
  then d.n + d[f](0)
  else d.n + f(0)

```



foo ::

$f : \{v \mid \text{Str}(v)\} \rightarrow \{v \mid \text{Int} \rightarrow \text{Int}\}$

$\text{tag}(v) = \text{"Str"}$

```

T ::= {v | p}
U ::= x:T1 → T2
p ::= p ∧ q | ...
    | x = y | x < y | ...
    | tag(x) = "Int" | ...
    | sel(x,y) = z | ...
    | x :: U

```

```

let foo f d =
  if tagof f = "Str"
  then d.n + d[f](0)
  else d.n + f(0)

```

foo ::

```

f: { v | Str(v) v v :: Int → Int }
→ d: { v | Dict(v)
      / Int(v.n)
      / Str(f) ⇒ v[f] :: Int → Int }

```

```

T ::= {v | p}
U ::= x:T1 → T2
p ::= p ∧ q | ...
     | x = y | x < y | ...
     | tag(x) = "Int" | ...
     | sel(x,y) = z | ...
     | x :: U

```

```

let foo f d =
  if tagof f = "Str"
  then d.n + d[f](0)
  else d.n + f(0)

```

$\text{sel}(v, \text{"n"})$

$\text{sel}(v, f)$

foo ::

$f: \{ v \mid \text{Str}(v) \vee v :: \text{Int} \rightarrow \text{Int} \}$
 $\rightarrow d: \{ v \mid \text{Dict}(v)$
 $\wedge \text{Int } [v.n]$
 $\wedge \text{Str}(f) \Rightarrow v[f] :: \text{Int} \rightarrow \text{Int} \}$

$T ::= \{ v \mid p \}$
 $U ::= x:T_1 \rightarrow T_2$
 $p ::= p \wedge q \mid \dots$
 $\mid x = y \mid x < y \mid \dots$
 $\mid \text{tag}(x) = \text{"Int"} \mid \dots$
 $\mid \text{sel}(x, y) = z \mid \dots$
 $\mid x :: U$

```

let foo f d =
  if tagof f = "Str"
  then d.n + d[f](0)
  else d.n + f(0)

```

foo ::

$$\begin{aligned}
 & f : \{ v \mid \text{Str}(v) \vee v :: \text{Int} \rightarrow \text{Int} \} \\
 \rightarrow & d : \{ v \mid \text{Dict}(v) \\
 & \quad \wedge \text{Int}(v.n) \\
 & \quad \wedge \text{Str}(f) \Rightarrow v[f] :: \text{Int} \rightarrow \text{Int} \} \\
 \rightarrow & \boxed{\text{Int}}
 \end{aligned}$$

```

T ::= {v | p}
U ::= x:T1 → T2
p ::= p ∧ q | ...
    | x = y | x < y | ...
    | tag(x) = "Int" | ...
    | sel(x,y) = z | ...
    | x :: U

```



```

let foo f d =
  if tagof f = "Str"
  then d.n + d[f](0)
  else d.n + f(0)

```

foo ::

{v | v ::

f:

{v | tag(v)="Str" v v :: Int → Int }

→

{v | v ::

d:

{v | tag(v)="Dict"
 ^ tag(sel(v, "n"))="Int"
 ^ tag(f)="Str" ⇒
 sel(v, f) :: Int → Int }

→

Int

T ::= {v | p}
 U ::= x:T₁ → T₂
 p ::= p ∧ q | ...
 | x = y | x < y | ...
 | tag(x) = "Int" | ...
 | sel(x, y) = z | ...
 | x :: U