

# SMT: Satisfiability Modulo Theories

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# Decision Procedures

## Last Time

- ▶ Propositional Logic

## Today

1. **Combining** SAT *and* Theory Solvers
2. **Theory Solvers**
  - ▶ Theory of *Equality*
  - ▶ Theory of *Uninterpreted Functions*
  - ▶ Theory of *Difference-Bounded Arithmetic*

# Combining SAT and Theory Solvers

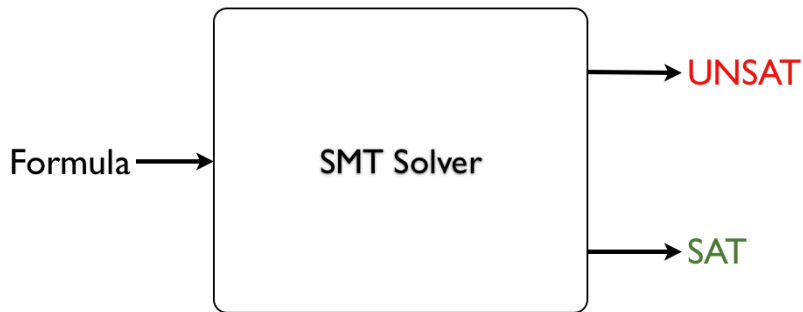


Figure: SMT Solver Architecture

# Combining SAT and Theory Solvers

**Goal** Determine if a formula  $f$  is *Satisfiable*.

```
data Formula = Prop PVar           -- ^ Prop Logic
             | And  [Formula]      -- ^ ""
             | Or   [Formula]      -- ^ ""
             | Not  Formula        -- ^ ""
             | Atom Atom           -- ^ Theory Relation
```

Where theory elements are described by

```
data Expr    = Var TVar | Con Int | Op  Operator [Expr]

data Atom    = Rel Relation [Expr]
```

# Split Formula into CNF + Theory Components

## CNF Formulas

```
data Literal      = Pos PVar | Neg PVar
type Clause       = [Literal]
type CnfFormula  = [Clause]
```

# Split Formula into CNF + Theory Components

## Theory Cube

A TheoryCube is an indexed list of Atom

```
data TheoryCube a = [(a, Atom)]
```

## Theory Formula

A TheoryFormula is a TheoryCube indexed by Literal

```
type TheoryFormula = TheoryCube Literal
```

- ▶ **Conjunction** of **assignments** of each literal to theory Atom

# Split Formula into CNF + Theory Components

## Split SMT Formulas

An SmtFormula is a pair of CnfFormula and TheoryFormula

```
type SmtFormula    = (CnfFormula, TheoryFormula)
```

**Theorem** There is a *poly-time* function

```
toSmt :: Formula -> SmtFormula  
toSmt = error "Exercise For The Reader"
```

# Split SmtFormula : Example

Consider the formula

$$\blacktriangleright (a = b \vee a = c) \wedge (b = d \vee b = e) \wedge (c = d) \wedge (a \neq d) \wedge (a \neq e)$$

We can split it into **CNF**

$$\blacktriangleright (x_1 \vee x_2) \wedge (x_3 \vee x_4) \wedge (x_5) \wedge (x_6) \wedge (x_7)$$

And a **Theory Cube**

$$\blacktriangleright (x_1 \leftrightarrow a = b), (x_2 \leftrightarrow a = c), (x_3 \leftrightarrow b = d), (x_4 \leftrightarrow b = e) \\ (x_5 \leftrightarrow c = d), (x_6 \leftrightarrow a \neq d), (x_7 \leftrightarrow a \neq e)$$



## Split SmtFormula : Example

Consider the formula

$$\blacktriangleright (a = b \vee a = c) \wedge (b = d \vee b = e) \wedge (c = d) \wedge (a \neq d) \wedge (a \neq e)$$

We can split it into a CnfFormula

( [[1, 2], [3, 4], [5], [6], [7]]

and a TheoryFormula

```
[ (1, Rel Eq ["a", "b"]), (2, Rel Eq ["a", "c"])  
, (3, Rel Eq ["b", "d"]), (4, Rel Eq ["b", "e"])  
, (5, Rel Eq ["c", "d"])  
, (6, Rel Ne ["a", "d"]), (7, Rel Ne ["a", "e"]) ]
```

# Combining SAT and Theory Solvers: Architecture

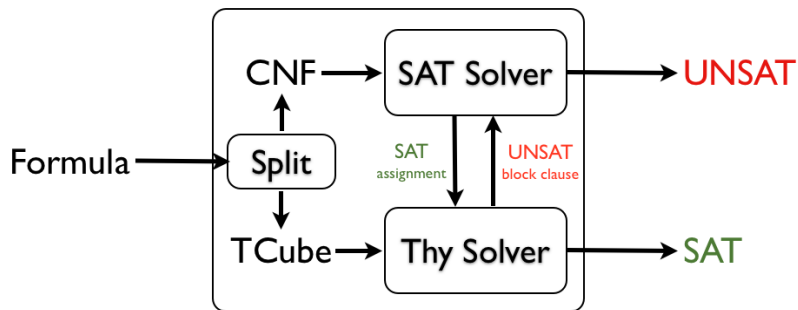


Figure: SMT Solver Architecture

# Combining SAT and Theory Solvers: Architecture

Lets see this in code

```
smtSolver :: Formula -> Result  
smtSolver = smtLoop . toSmt
```

# Combining SAT and Theory Solvers: Architecture

Lets see this in code

```
smtLoop    :: SmtFormula -> Result
smtLoop (cnf, thy) =
  case satSolver cnf of
    UNSAT -> UNSAT
    SAT s -> case theorySolver $ cube thy s of
              SAT      -> SAT
              UNSAT c -> smtLoop (c:cnf) thy
```

Where, the function

```
cube :: TheoryFormula -> [Literal] -> TheoryFormula
```

Returns a **conjunction of atoms** for the theorySolver

# Combining SAT and Theory Solvers: Architecture

Lets see this in code

```
smtLoop    :: SmtFormula -> Result
smtLoop (cnf, thy) =
  case satSolver cnf of
    UNSAT -> UNSAT
    SAT s -> case theorySolver $ cube thy s of
              SAT      -> SAT
              UNSAT c -> smtLoop (c:cnf) thy
```

In UNSAT case theorySolver returns **blocking clause**

- ▶ Tells satSolver not to find *similar* assignments ever again!

## smtSolver : Example

Recall formula split into **CNF**

$$\blacktriangleright (x_1 \vee x_2) \wedge (x_3 \vee x_4) \wedge (x_5) \wedge (x_6) \wedge (x_7)$$

and **Theory Cube** -

$$(x_1 \leftrightarrow a = b), (x_2 \leftrightarrow a = c), (x_3 \leftrightarrow b = d), (x_4 \leftrightarrow b = e)$$

$$(x_5 \leftrightarrow c = d), (x_6 \leftrightarrow a \neq d), (x_7 \leftrightarrow a \neq e)$$

Iteration 1: SAT

$$\blacktriangleright \text{In } (x_1 \vee x_2) \wedge (x_3 \vee x_4) \wedge (x_5) \wedge (x_6) \wedge (x_7)$$

$$\blacktriangleright \text{Out SAT } x_1 \wedge x_3 \wedge x_5 \wedge x_6 \wedge x_7$$

Iteration 1: SMT

$$\blacktriangleright \text{In } (x_1, a = b), (x_3, b = d), (x_5, c = d), (x_6, a \neq d), (x_7, a \neq e)$$

$$\blacktriangleright \text{Out UNSAT } (\neg x_1 \vee \neg x_3 \vee \neg x_6)$$

# smtSolver : Example

## Iteration 2: SAT

- ▶ **In**  $(x_1 \vee x_2), (x_3 \vee x_4), (x_5), (x_6), (x_7), (\neg x_1 \vee \neg x_3)$
- ▶ **Out** SAT  $x_1 \wedge x_4 \wedge x_5 \wedge x_6 \wedge x_7$

## Iteration 2: SMT

- ▶ **In**  $(x_1, a = b), (x_4, b = e), (x_5, c = d), (x_6, a \neq d), (x_7, a \neq e)$
- ▶ **Out** UNSAT  $(\neg x_1 \vee \neg x_4 \vee \neg x_7)$

# smtSolver : Example

## Iteration 3 : SAT

- ▶ **In**  $(x_1 \vee x_2), (x_3 \vee x_4), (x_5), (x_6), (x_7),$   
 $(\neg x_1 \vee \neg x_3), (\neg x_1 \vee \neg x_4 \vee \neg x_7)$
- ▶ **Out SAT**  $x_2 \wedge x_4 \wedge x_5 \wedge x_6 \wedge x_7$

## Iteration 3 : SMT

- ▶ **In**  $(x_2, a = c), (x_4, b = e), (x_5, c = d), (x_6, a \neq d), (x_7, a \neq e)$
- ▶ **Out UNSAT**  $(\neg x_2 \vee \neg x_5 \vee \neg x_6)$



## smtSolver : Example

### Iteration 4 : SAT

- ▶ **In**  $(x_1 \vee x_2), (x_3 \vee x_4), (x_5), (x_6), (x_7),$   
 $(\neg x_1 \vee \neg x_3), (\neg x_1 \vee \neg x_4 \vee \neg x_7), (\neg x_2 \vee \neg x_5 \vee \neg x_6)$
- ▶ **Out** UNSAT
- ▶ Thus smtSolver returns UNSAT

# Today

1. Combining SAT *and* Theory Solvers
2. **Theory Solvers**
  - ▶ Theory of *Equality*
  - ▶ Theory of *Uninterpreted Functions*
  - ▶ Theory of *Difference-Bounded Arithmetic*

**Issue:** How to solve formulas over *different* theories?

# Need to Solve Formulas Over Different Theories

Input formulas  $F$  have Relation, Operator from *different* theories

- ▶  $F \equiv f(f(a) - f(b)) \neq f(c), b \geq a, c \geq b + c, c \geq 0$
- ▶ Recall here *comma* means *conjunction*

Formula contains symbols from

- ▶ EUF :  $f(a), f(b), =, \neq, \dots$
- ▶ Arith :  $\geq, +, 0, \dots$

How to solve formulas over *different* theories?

# Naive Splitting Approach

Consider  $F$  over  $T_E$  (e.g. EUF) and  $T_A$  (e.g. Arith)

By Theory, Split  $F$  Into  $F_E \wedge F_A$

- ▶  $F_E$  which **only contains** symbols from  $T_E$
- ▶  $F_A$  which **only contains** symbols from  $T_A$

Our example,

- ▶  $F \equiv f(f(a) - f(b)) \neq f(c), b \geq a, c \geq b + c, c \geq 0$

Can be split into

- ▶  $F_E \equiv f(f(a) - f(b)) \neq f(c)$
- ▶  $F_A \equiv b \geq a, c \geq b + c, c \geq 0$

# Naive Splitting Approach

Our example,

- ▶  $F \equiv f(f(a) - f(b)) \neq f(c), b \geq a, c \geq b + c, c \geq 0$

Can be split into

- ▶  $F_E \equiv f(f(a) - f(b)) \neq f(c)$
- ▶  $F_A \equiv b \geq a, c \geq b + c, c \geq 0$

**Problem! Pesky “minus” operator ( $-$ ) has crept into  $F_E \dots$**

## Less Naive Splitting Approach

**Problem! Pesky “minus” operator ( $-$ ) has crept into  $F_E \dots$**

### Purify Sub-Expressions With Fresh Variables

- ▶ Replace  $r(f(e))$  with  $t = f(e) \wedge r(t)$
- ▶ So that each *atom* belongs to a *single* theory

Example formula  $F$  becomes

- ▶  $t_1 = f(a), t_2 = f(b), t_3 = t_1 - t_2$
- ▶  $f(t_3) \neq f(c), b \geq a, c \geq b + c, c \geq 0$

Which splits nicely into

- ▶  $F_E \equiv t_1 = f(a), t_2 = f(b), f(t_3) \neq f(c)$
- ▶  $F_A \equiv t_3 = t_1 - t_2, b \geq a, c \geq b + c, c \geq 0$

## Less Naive Splitting Approach

Consider  $F$  over  $T_E$  (e.g. EUF) and  $T_A$  (e.g. Arith)

► **Split**  $F \equiv F_E \wedge F_A$

Now what? Run theory solvers independently

```
theorySolver f =  
  let (fE, fA) = splitByTheory f in  
  case theorySolverE fE, theorySolverA fA of  
    (UNSAT, _) -> UNSAT  
    (_, UNSAT) -> UNSAT  
    (SAT, SAT) -> SAT
```

**Will it work?**

# Less Naive Splitting Approach

## Run Theory Solvers Independently

```
theorySolver f =  
  let (fE, fA) = splitByTheory f in  
  case theorySolverE fE, theorySolverA fA of  
    (UNSAT, _) -> UNSAT  
    (_, UNSAT) -> UNSAT  
    (SAT, SAT) -> SAT
```

**Will it work? Alas, no.**



# Satisfiability of Mixed Theories

Consider  $F$  over  $T_E$  (e.g. EUF) and  $T_A$  (e.g. Arith)

► **Split**  $F \equiv F_E \wedge F_A$

The following are obvious

1.  $UNSAT F_E$  implies  $UNSAT F_E \wedge F_A$  implies  $UNSAT F$
2.  $UNSAT F_A$  implies  $UNSAT F_E \wedge F_A$  implies  $UNSAT F$

But this **is not true**

3.  $SAT F_E$  and  $SAT F_A$  implies  $SAT F_E \wedge F_A$

# Satisfiability of Mixed Theories

$SAT F_E$  and  $SAT F_A$  **does not imply**  $SAT F_E \wedge F_A$

## Example

- ▶  $F_E \equiv t_1 = f(a), t_2 = f(b), f(t_3) \neq f(c)$
- ▶  $F_A \equiv t_3 = t_1 - t_2, b \geq a, c \geq b + c, c \geq 0$

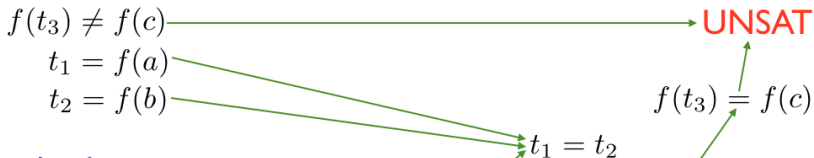
## Individual Satisfying Assignment

- ▶ Let  $\sigma \equiv a \mapsto 0, b \mapsto 0, c \mapsto 1, f \mapsto \lambda x.x$
- ▶ Easy to check that  $\sigma$  satisfies  $F_E$  and  $F_A$
- ▶ (But not both!)

One bad assignment doesn't mean  $F$  is *UNSAT*...

# Proof of Unsatisfiability of Mixed Formula $F_E \wedge F_A$

## Equality



## Arithmetic

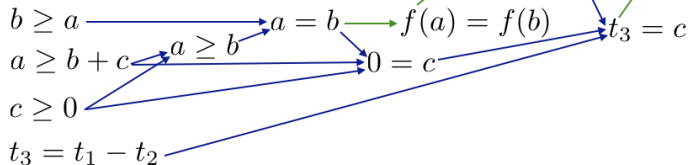


Figure: Proof Of Unsatisfiability

# Satisfiability of Mixed Theories

Is quite non-trivial!

- ▶ EUF: Ackermann, 1954
- ▶ Arith: Fourier, 1827
- ▶ EUF+Arith: Nelson-Oppen, POPL 1978

Real software verification queries span multiple theories

- ▶ EUF + Arith + Arrays + Bit-Vectors + ...

**Good news!** The Nelson - Oppen *combination* procedure ...

# Nelson-Open Framework For Combining Theory Solvers

## Step 1

- ▶ **Purify** each atom with fresh variables
- ▶ **Result** each Atom belongs to *one* theory

## Step 2

- ▶ **Check Satisfiability** of each theory using its solver
- ▶ **Result** If *any* solver says UNSAT then formula is UNSAT

## Step 3 (Key Insight)

- ▶ **Broadcast New Equalities** discovered by *each* solver
- ▶ **Repeat** step 2 **until** no new equalities discovered

# Nelson-Oppen Framework: Example

## Input

- ▶  $F \equiv f(f(a) - f(b)) \neq f(c), b \geq a, c \geq b + c, c \geq 0$

## After Step 1 (Purify)

- ▶  $t_1 = f(a), t_2 = f(b), t_3 = t_1 - t_2$
- ▶  $f(t_3) \neq f(c), b \geq a, c \geq b + c, c \geq 0$

# Nelson-Oppen Framework: Example

After Step 2 (Run EUF on  $F_E$ , Arith on  $F_A$ )

- ▶  $F_E \equiv t_1 = f(a), t_2 = f(b), f(t_3) \neq f(c)$  is SAT
- ▶  $F_A \equiv t_3 = t_1 - t_2, b \geq a, c \geq b + c, c \geq 0$  is SAT

After Step 3

- ▶ Arith *discovers*  $a = b$

Broadcast

- ▶  $F'_E \leftarrow F_E, a = b$

Repeat Step 2

# Nelson-Oppen Framework: Example

After Step 2 (Run EUF on  $F'_E$ , Arith on  $F_A$ )

- ▶  $F'_E \equiv t_1 = f(a), t_2 = f(b), f(t_3) \neq f(c), a = b$  is SAT
- ▶  $F_A \equiv t_3 = t_1 - t_2, b \geq a, c \geq b + c, c \geq 0$  is SAT

After Step 3

- ▶ EUF *discovers*  $t_1 = t_2$

Broadcast and Update

- ▶  $F'_A \leftarrow F_A, t_1 = t_2$

Repeat Step 2



# Nelson-Oppen Framework: Example

After Step 2 (Run EUF on  $F'_E$ , Arith on  $F'_A$ )

- ▶  $F'_E \equiv t_1 = f(a), t_2 = f(b), f(t_3) \neq f(c), a = b$  is SAT
- ▶  $F'_A \equiv t_3 = t_1 - t_2, b \geq a, c \geq b + c, c \geq 0, t_1 = t_2$  is SAT

After Step 3

- ▶ Arith *discovers*  $t_3 = c$

Broadcast and Update

- ▶  $F''_E \leftarrow F'_E, t_3 = c$

Repeat Step 2

# Nelson-Oppen Framework: Example

After Step 2 (Run EUF on  $F'_E$ , Arith on  $F'_A$ )

- ▶  $F'_E \equiv t_1 = f(a), t_2 = f(b), f(t_3) \neq f(c), a = b, t_3 = c$
- ▶ Arith returns UNSAT
- ▶ **Output** UNSAT

# Nelson-Oppen in Code

TODO

# Nelson-Open Framework For Combining Theory Solvers

## A Theory $T$ is Stably Infinite

If every  $T$ -satisfiable formula has an infinite model

- ▶ Roughly, is SAT over a universe with infinitely many *Values*

## A Theory $T$ is Convex

If whenever  $F$  implies  $a_1 = b_1 \vee a_2 = b_2$

**either**  $F$  implies  $a_1 = b_1$  **or**  $F$  implies  $a_2 = b_2$

# Nelson-Oppen Framework For Combining Theory Solvers

## Theorem: Nelson-Oppen Combination

Let  $T_1, T_2$  be *stably infinite, convex* theories w/ solvers  $S1$  and  $S2$

1. `nelsonOppen S1 S2` is a solver the combined theory  $T_1 \cup T_2$
2. `nelsonOppen S1 S2 F == SAT` iff  $F$  is satisfiable in  $T_1 \cup T_2$ .

# Convexity

The **convexity** requirement is the important one in practice.

## Example of Non-Convex Theory

$(\mathbb{Z}, +, \leq)$  and Equality

- ▶  $F \equiv 1 \leq a \leq 2, b = 1, c = 2, t_1 = f(a), t_2 = f(b), t_3 = f(c)$
- ▶  $F$  implies  $t_1 = t_2 \vee t_1 = t_3$
- ▶  $F$  does not imply either  $t_1 = t_2$  or  $t_1 = t_3$

Nelson-Oppen **fails** on  $F, t_1 \neq t_2, t_1 \neq t_3$

- ▶ Extensions: add case-splits on dis/equality ## Nelson-Oppen Architecture

TODO Nifty Bus PIC

What is the **API** for each Theory Solver?

# Requirements of Theory Solvers

Recall the smtLoop architecture

```
smtLoop    :: SmtFormula -> Result
smtLoop (cnf, thy) =
  case satSolver cnf of
    UNSAT -> UNSAT
    SAT s -> case theorySolver $ cube thy s of
              SAT      -> SAT
              UNSAT c -> smtLoop (c:cnf) thy
```

Requirement of theorySolver

- ▶ SAT : Each solver broadcast equalities
- ▶ UNSAT : Each solver broadcast **cause** of equalities
- ▶ theorySolver constructs **blocking clause** from *causes*

# Building Blocking Clauses from Causes

- ▶ **Tag** each input Atom
- ▶ **Tag** each discovered and broadcasted equality
- ▶ **Link** each discovered fact with *tags* of its causes
- ▶ On **UNSAT** returned cause is backwards *slice* of *tags*
- ▶ Will see this informally, but will show up in assignment. . .



# Today

1. Combining SAT *and* Theory Solvers
2. **Combining Solvers for Multiple Theories**
  - ▶ **Theory of Equality**
  - ▶ Theory of *Uninterpreted Functions*
  - ▶ Theory of *Difference-Bounded Arithmetic*

# Solver for Theory of Equality

**Recall** Only need to solve list of Atom

- ▶ i.e. formulas like  $\bigwedge_{i,j} e_i = e_j \wedge \bigwedge_{k,l} e_k \neq e_l$

# Axioms for Theory of Equality

Rules defining when one expressions *is equal to* another.

Reflexivity: Every term  $e$  is equal to itself

$$\forall e. e = e$$

Symmetry: If  $e_1$  is equal to  $e_2$ , then  $e_2$  is equal to  $e_1$

$$\forall e_1, e_2. \text{If } e_1 = e_2 \text{ Then } e_2 = e_1$$

Transitivity: If  $e_1$  equals  $e_2$  and  $e_2$  equals  $e_3$  then  $e_1$  equals  $e_3$

$$\forall e_1, e_2, e_3. \text{If } e_1 = e_2 \text{ and } e_2 = e_3 \text{ Then } e_1 = e_3$$

# Solver for Theory of Equality

Let  $R$  be a relation on expressions.

## Equivalence Closure of $R$

Is the *smallest* relation containing  $R$  that is *closed* under

- ▶ Reflexivity
- ▶ Symmetry
- ▶ Transitivity

By definition, closure is an *equivalence* relation

## Solver: Compute Equivalence Closure of Input Equalities

- ▶ Compute equivalence closure of input equality atoms
- ▶ Return UNSAT if any disequal terms are in the closure
- ▶ Return SAT otherwise

# Solver for Theory of Equality

**Input**  $\bigwedge_{i,j} e_i = e_j \wedge \bigwedge_{k,l} e_k \neq e_l$

**Step 1** Build Undirected Graph

- ▶ Vertices  $e_1, e_2, \dots$
- ▶ Edges  $e_i - - - e_j$  for each equality atom  $e_i = e_j$

**Step 2** Compute Equivalence Closure

- ▶ Add edges between  $e$  and  $e'$  per *transitivity* axioms

**Note:** Reflex. and Symm. handled by graph representation

**Output** For each  $k, l$  in disequality atoms,

- ▶ If exists edge  $e_k - - - e_l$  in graph then return UNSAT
- ▶ Else return SAT

## Solver for Theory of Equality: Example

Input formula:  $a = b, b = d, c = e, a \neq d, a \neq$

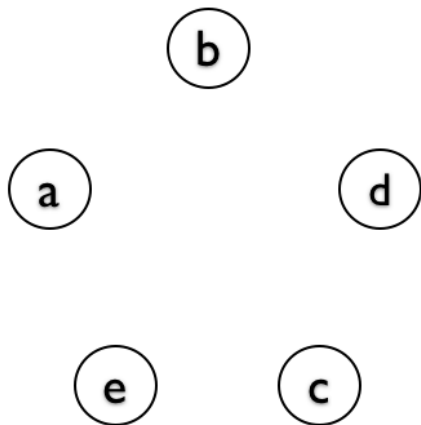


Figure: Initial Graph: Vertices

## Solver for Theory of Equality: Example

Input formula:  $a = b, b = d, c = e, a \neq d, a \neq c$

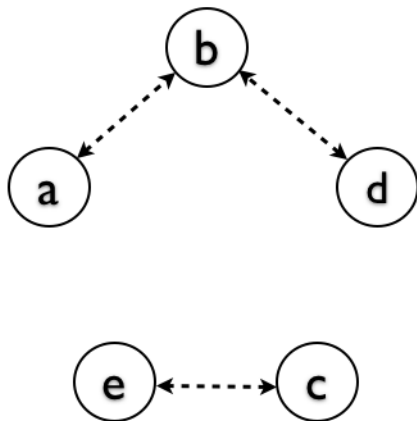


Figure: Initial Graph: Edges From Atoms

## Solver for Theory of Equality: Example

Input formula:  $a = b, b = d, c = e, a \neq d, a \neq c$

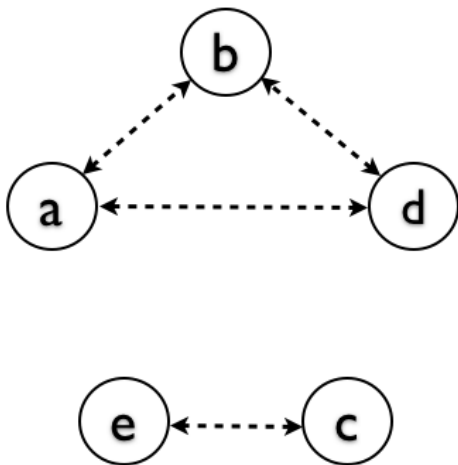
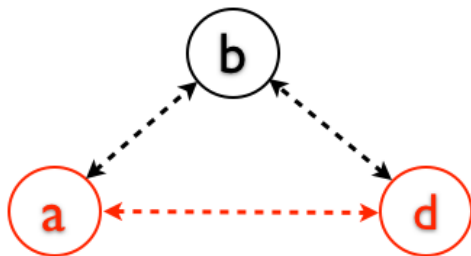


Figure: Initial Graph: Equivalence Closure



## Solver for Theory of Equality: Example

Input formula:  $a = b, b = d, c = e, a \neq d, a \neq$



**UNSAT**

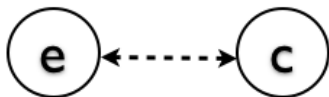


Figure: Initial Graph: Check Disequalities

# Solver for Theory of Equality

That was a **slow** algorithm

- ▶ Worst case number of edges is quadratic in number of expressions

Better approach using **Union-Find**

# Solver for Theory of Equality: Union-Find Algorithm

## Key Idea

- ▶ Build **directed tree** of nodes for each equivalent set
- ▶ Tree root is **canonical representative** of equivalent set
- ▶ i.e. nodes are equal *iff* they have the **same root**

## find e

- ▶ Walks up the tree and returns the **root** of e

## union e1 e2

- ▶ Updates graph with equality  $e1 == e2$
- ▶ Merges equivalence sets of e1 and e2

```
union e1 e2 = do r1 <- find e1
                r2 <- find e2
                link r1 r2
```

## Union Find : Example

Graph represents fact that  $a = b = c = d$  and  $e = f = g$ .

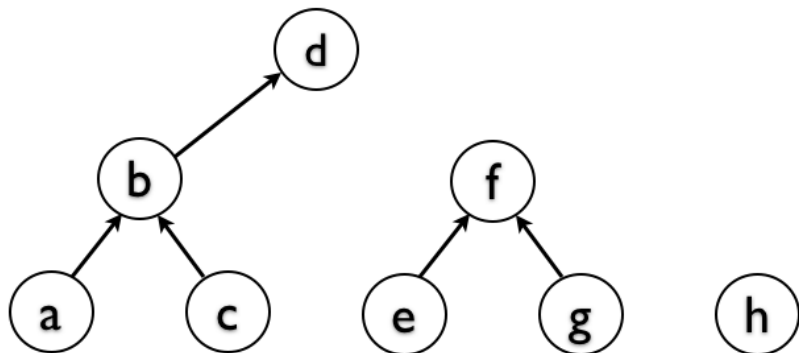


Figure: Initial Union-Find Graph

## Union-Find : Example

Graph represents fact that  $a = b = c = d$  and  $e = f = g$ .

**Updates** graph with equality  $a = e$  using union a e

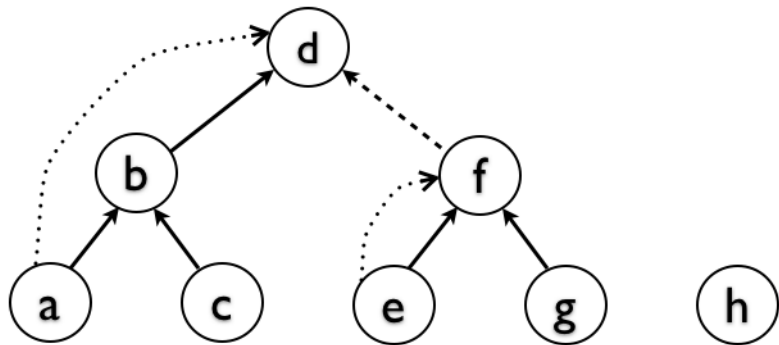


Figure: Find Roots of a and e

## Union-Find : Example

After linking, graph represents fact that  
 $a = b = c = d = e = f = g$ .

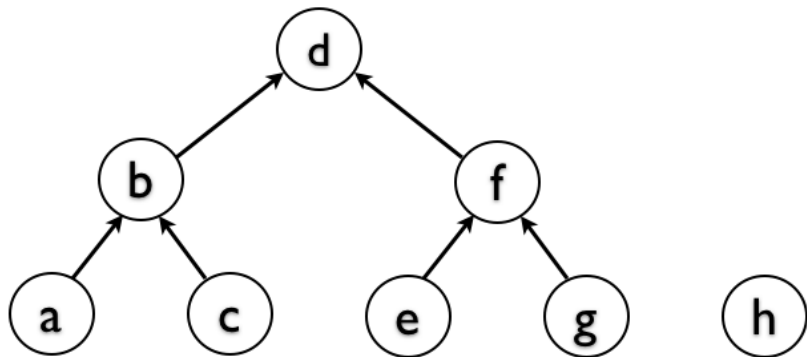


Figure: Union The Sets of a and e

# Solver for Theory of Equality: Union-Find Algorithm

## Algorithm

```
theorySolverEq atoms
  = do _   <- forM_ eqs  union      -- 1. Build U-F Tree
      u   <- anyM  neqs checkEqual -- 2. Check Conflict
      return $ if u then UNSAT else SAT
  where
    eqs    = [(e, e') | (e 'Eq' e') <- atoms]
    neqs   = [(e, e') | (e 'Ne' e') <- atoms]

checkEqual (e, e')
  = do r    <- find e
      r'   <- find e'
      return $ r == r'
```

# Solver for Theory of Equality: Missing Pieces

1. How to **discover equalities** ?
2. How to **track causes** ?

Figure it out in *homework*



# Today

1. Combining SAT *and* Theory Solvers
2. Combining Solvers for multiple theories
  - ▶ Theory of Equality
  - ▶ **Theory of Uninterpreted Functions**
  - ▶ Theory of *Difference-Bounded Arithmetic*

# Solver for Theory of Equality + Uninterpreted Functions

**Recall** Only need to solve list of `Atom`

- ▶ i.e. formulas like  $\bigwedge_{i,j} e_i = e_j \wedge \bigwedge_{k,l} e_k \neq e_l$

**New:** UIF Applications in Expressions

- ▶ An expression  $e$  can be of the form  $f(e_1, \dots, e_k)$
- ▶ Where  $f$  is an *uninterpreted function* of arity  $k$

**Question:** What does *uninterpreted* mean anyway ?

# Axioms for Theory of Equality + Uninterpreted Functions

Rules defining when one expressions *is equal to* another.

## Equivalence Axioms

- ▶ Reflexivity
- ▶ Symmetry
- ▶ Transitivity

## Congruence

If function arguments are equal, then outputs are equal

$$\forall e_i, e'_i. \text{ If } \bigwedge_i e_i = e'_i \text{ Then } f(e_1, \dots, e_k) = f(e'_1, \dots, e'_k)$$

# Solver for Theory of Equality + Uninterpreted Functions

Let  $R$  be a relation on expressions.

## Congruence Closure of $R$

Is the *smallest* relation containing  $R$  that is *closed* under

- ▶ Reflexivity
- ▶ Symmetry
- ▶ Transitivity
- ▶ Congruence

## Solver: Compute Congruence Closure of Input Equalities

- ▶ Compute **congruence closure** of input equality atoms
- ▶ Return UNSAT if any *disequal* terms are in the closure
- ▶ Return SAT otherwise

# Solver for EUF: Extended Union-Find Algorithm

## Step 1: Represent Expressions With DAG

- ▶ Each DAG **node** implicit **fresh variable** for sub-expression
- ▶ Shared across theory solvers

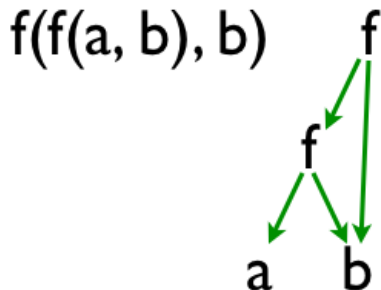


Figure: DAG Representation of Expressions

# Solver for EUF: Extended Union-Find Algorithm

Step 2: Keep Parent Links to Function Symbols

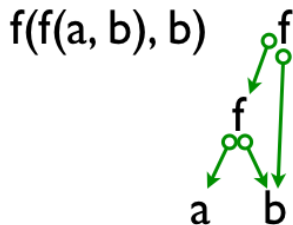


Figure: Parent Links

# Solver for EUF: Extended Union-Find Algorithm

## Step 3: Extend union e1 e2 To Parents

```
union e1 e2
  = do e1' <- find e1
       e2' <- find e2
       link      e1' e2'
       linkParents e1' e2'

linkParents e1' e2'
  = do transferParents      e1' e2'
       recursiveParentUnion e1' e2'
```

## Solver for EUF: Example

**Input**  $a = f(f(f(a))), a = f(f(f(f(f(a))))$ ,  $x \neq f(a)$

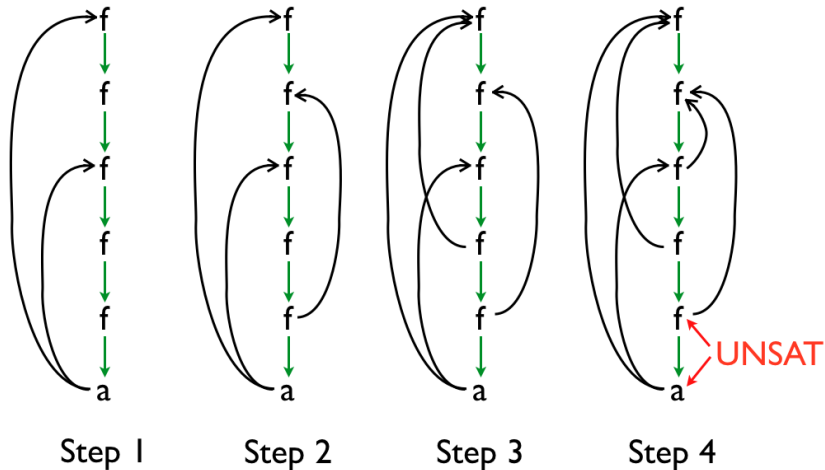


Figure: Congruence Closure Example



# Solver for Theory of EUF: Missing Pieces

1. How to **discover equalities** ?
2. How to **track causes** ?

Figure it out in *homework*

# Today

1. Combining SAT *and Theory* Solvers
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# Theory of Linear Arithmetic

- ▶ Operators  $+$ ,  $-$ ,  $=$ ,  $<$ ,  $0$ ,  $1$ ,  $-1$ ,  $2$ ,  $-2$ ,  $\dots$
- ▶ Semantics: as expected
- ▶ The most useful in program verification after equality
- ▶ Example:  $b > 2a + 1$ ,  $a + b > 1$ ,  $b < 0$

## Decision Procedure:

- ▶ Linear Programming / e.g. Simplex (Over Rationals)
- ▶ Integer Linear Programming (Over Integers)

# Theory of Difference Constraints

Special case of linear arithmetic, with atoms

$$a - b \leq n$$

where  $a$ ,  $b$  are variables,  $n$  is constant integer.

Can express many common linear constraints

Special variable  $z$  representing 0

- ▶  $a = b \equiv a - b \leq 0, b - a \leq 0$
- ▶  $a \leq n \equiv a - z \leq n$
- ▶  $a \geq n \equiv z - a \leq -n$
- ▶  $a < b \equiv a - b \leq -1$
- ▶ *etc.*

# Solver For Difference Constraints

How to check satisfiability?

# Directed Graph Based Procedure

**Vertices** for each *variable*

**Edges** for each *constraint*

Example: Atoms

▶  $a - b \leq 0$

▶  $b - c \leq -4$

▶  $c - a \leq 2$

▶  $c - d \leq -1$

Algorithm

TODO

# Solver For Difference Constraints

**Theorem:** A set of difference constraints is satisfiable iff there is no **negative weight** cycle in the graph.

- ▶ Can be solved in  $O(V.E)$  Bellman-Ford Algorithm
- ▶  $V$  = number of vertices
- ▶  $E$  = number of edges

## Issues

1. Why does it work?
2. How to detect equalities?
3. How to track causes?

# Today

1. Combining SAT *and* Theory Solvers
2. Combining Solvers for multiple theories
  - ▶ Theory of Equality
  - ▶ Theory of Uninterpreted Functions
  - ▶ Theory of Difference-Bounded Arithmetic
3. **Other Theories**
  - ▶ Lists
  - ▶ Arrays
  - ▶ Sets
  - ▶ Bitvectors
  - ▶ ...