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# PROGRAMMING WITH REFINEMENT TYPES

AN INTRODUCTION TO LIQUIDHASKELL

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### Contents

1	Introd	uction	9	

Well-Typed Programs Do Go Wrong				
Refinement Types 11				
Audience 11				
Getting Started 11				
Sample Code 12				

2 *Refinement Types* 13

Defining Types 13	
Errors 14	
Subtyping 14	
Writing Specifications 15	
Refining Function Types: Pre-conditions	16
Refining Function Types: Post-conditions	17
Testing Values: Booleans and Propositions	17
Putting It All Together 19	
Recap 19	

4 RANJIT JHALA, ERIC SEIDEL, NIKI VAZOU

- 3 Polymorphism 21 Specification: Vector Bounds 22 Verification: Vector Lookup 23 Inference: Our First Recursive Function 24 Higher-Order Functions: Bottling Recursion in a loop 25 Refinements and Polymorphism 27 Recap 28
- 4 *Refined Datatypes* 29

Sparse Vectors Revisited29Ordered Lists31Ordered Trees34Recap37

- 5 Boolean Measures 39 Partial Functions 39 Lifting Functions to Measures 40 A Safe List API 42 Recap 45
- 6 Numeric Measures 47
  - Wholemeal Programming47Specifying List Dimensions49Lists: Size Preserving API50

*Lists: Size Reducing API* **52** 

Dimension Safe Vector API 53

Dimension Safe Matrix API 55

*Recap* 57

7 Elemental Measures 59

Talking about Sets59Proving QuickCheck Style Properties60Content-Aware List API62Permutations64Uniqueness65Unique Zippers67Recap69

8 Case Study: Associative Maps 71

Specifying Maps71Using Maps: Well Scoped Expressions72Implementing Maps: Binary Search Trees76Recap80

*9 Case Study: Pointers and ByteStrings* **81** 

HeartBleeds in Haskell81Low-level Pointer API82A Refined Pointer API84

Assumptions vs Guarantees87ByteString API87Application API91Nested ByteStrings92Recap: Types Against Overflows94

# List of Exercises

2.1	Exercise (List Average)	17
2.2	Exercise (Propositions)	18
2.3	Exercise (Assertions)	18
3.1	Exercise (Vector Head)	24
3.2	Exercise (Unsafe Lookup)	24
3.3	Exercise (Safe Lookup)	24
3.4	Exercise (Guards)	25
3.5	Exercise (Absolute Sum)	25
3.6	Exercise (Off by one?)	25
3.7	Exercise (Using Higher-Order Loops)	26
3.8	Exercise (Dot Product)	26

# Introduction

1

One of the great things about Haskell is its brainy type system that allows one to enforce a variety of invariants at compile time, thereby nipping in the bud a large swathe of run-time errors.

#### Well-Typed Programs Do Go Wrong

Alas, well-typed programs *do* go quite wrong, in a variety of ways.

DIVISION BY ZERO This innocuous function computes the average of a list of integers:

average :: [Int] -> Int average xs = sum xs `div` length xs

We get the desired result on a non-empty list of numbers:

```
ghci> average [10, 20, 30, 40]
25
```

However, if we call it with an empty list, we get a rather unpleasant crash:

```
ghci> average []
*** Exception: divide by zero
```

MISSING KEYS Associative key-value maps are the new lists; they come "built-in" with modern languages like Go, Python, JavaScript and Lua; and of course, they're widely used in Haskell too.

<sup>o</sup> We might solve this problem by writing average more *defensively*, perhaps returning a Maybe or Either value. However, this merely kicks the can down the road. Ultimately, we will want to extract the Int from the Maybe and if the inputs were invalid to start with, then at that point we'd be stuck.

Alas, maps are another source of vexing errors that are tickled when we try to find the value of an absent key:

ghci> m ! "javascript"
"\*\*\* Exception: key is not in the map

SEGMENTATION FAULTS Say what? How can one possibly get a segmentation fault with a *safe* language like Haskell. Well, here's the thing: every safe language is built on a foundation of machine code, or at the very least, C. Consider the ubiquitous vector library:

```
ghci> :m +Data.Vector
ghci> let v = fromList ["haskell", "ocaml"]
ghci> unsafeIndex v 0
"haskell"
```

However, invalid inputs at the safe upper levels can percolate all the way down and stir a mutiny down below:

```
ghci> unsafeIndex v 3
'ghci' terminated by signal SIGSEGV ...
```

HEART BLEEDS Finally, for certain kinds of programs, there is a fate worse than death. text is a high-performance string processing library for Haskell, that is used, for example, to build web services.

```
ghci> :m + Data.Text Data.Text.Unsafe
ghci> let t = pack "Voltage"
ghci> takeWord16 5 t
"Volta"
```

A cunning adversary can use invalid, or rather, *well-crafted*, inputs that go well outside the size of the given text' to read extra bytes and thus *extract secrets* without anyone being any the wiser.

```
ghci> takeWord16 20 t
"Voltage\1912\3148\SOH\NUL\15928\2486\SOH\NUL"
```

The above call returns the bytes residing in memory *immediately after* the string Voltage. These bytes could be junk, or could be either the name of your favorite TV show, or, more worryingly, your bank account password.

° Again, one could use a Maybe but its just deferring the inevitable.

<sup>o</sup> Why use a function marked unsafe? Because it's very fast! Furthermore, even if we used the safe variant, we'd get a *run-time* exception which is only marginally better. Finally, we should remember to thank the developers for carefully marking it unsafe, because in general, given the many layers of abstraction, it is hard to know which functions are indeed safe.

#### Refinement Types

Refinement types allow us to enrich Haskell's type system with *predicates* that precisely describe the sets of *valid* inputs and outputs of functions, values held inside containers, and so on. These predicates are drawn from special *logics* for which there are fast *decision procedures* called SMT solvers.

BY COMBINING TYPES WITH PREDICATES you can specify *contracts* which describe valid inputs and outputs of functions. The refinement type system *guarantees at compile-time* that functions adhere to their contracts. That is, you can rest assured that the above calamities *cannot occur at run-time*.

LIQUIDHASKELL is a Refinement Type Checker for Haskell, and in this tutorial we'll describe how you can use it to make programs better and programming even more fun.

#### Audience

Do you

- know a bit of basic arithmetic and logic?
- know the difference between a nand and an xor?
- know any typed languages e.g. ML, Haskell, Scala, F# or (Typed) Racket?
- know what forall a. a -> a means?
- like it when your code editor politely points out infinite loops?
- like your programs to not have bugs?

Then this tutorial is for you!

#### Getting Started

First things first; lets see how to install and run LiquidHaskell.

LIQUIDHASKELL REQUIRES in addition to the cabal dependencies the binary executable for an SMTLIB2 compatible solver, e.g. one of

- Z<sub>3</sub>
- CVC<sub>4</sub>
- MathSat

TO INSTALL LiquidHaskell, just do:

° If you are familiar with the notion of Dependent Types, for example, as in the Coq proof assistant, then Refinement Types can be thought of as restricted class of the former where the logic is restricted, at the cost of expressiveness, but with the reward of a considerable amount of automation. \$ cabal install liquidhaskell

COMMAND LINE execution simply requires you type:

```
$ liquid /path/to/file.hs
```

You will see a report of SAFE or UNSAFE together with type errors at various points in the source.

EMACS AND VIM have LiquidHaskell plugins, which run liquid in the background as you edit any Haskell file, highlight errors, and display the inferred types, all of which we find to be extremely useful. Hence we *strongly recommend* these over the command line option.

- Emacs' flycheck plugin is described here
- Vim's syntastic checker is described here

#### Sample Code

This tutorial is written in literate Haskell and the code for it is available here. We *strongly* recommend you grab the code, and follow along, and especially that you do the exercises.

# 2 Refinement Types

WHAT IS A REFINEMENT TYPE? In a nutshell,

Refinement Types = Types + Predicates

That is, refinement types allow us to decorate types with *logical predicates*, which you can think of as *boolean-valued* Haskell expressions, that constrain the set of values described by the type. This lets us specify sophisticated invariants of the underlying values.

#### Defining Types

Let us define some refinement types:

{-@ type Zero = {v:Int | v == 0} @-}
{-@ type NonZero = {v:Int | v /= 0} @-}

THE VALUE VARIABLE v denotes the set of valid inhabitants of each refinement type. Hence, Zero describes the *set of* Int values that are equal to 0, that is, the singleton set containing just 0, and NonZero describes the set of Int values that are *not* equal to 0, that is, the set  $\{1, -1, 2, -2, ...\}$  and so on.

To USE these types we can write:

```
{-@ zero :: Zero @-}
zero = 0 :: Int
{-@ one, two, three :: NonZero @-}
one = 1 :: Int
two = 2 :: Int
three = 3 :: Int
```

<sup>o</sup> We will use @-marked comments to write refinement type annotations the Haskell source file, making these types, quite literally, machine-checked comments!

#### Errors

If we try to say nonsensical things like:

{-@ one' :: Zero @-}
one' = 1 :: Int

LiquidHaskell will complain with an error message:

```
02-basic.lhs:58:8: Error: Liquid Type Mismatch
Inferred type
    VV : Int | VV == (1 : int)
    not a subtype of Required type
    VV : Int | VV == 0
```

The message says that the expression 1 :: Int has the type

{v:Int | v == 1}

which is not (a subtype of) the required type

{v:Int | v == 0}

as 1 is not equal to 0.

#### Subtyping

What is this business of *subtyping*? Suppose we have some more refinements of Int

{-@ type Nat = {v:Int | 0 <= v} @-}
{-@ type Even = {v:Int | v mod 2 == 0 } @-}
{-@ type Lt100 = {v:Int | v < 100} @-}</pre>

WHAT IS THE TYPE OF zero? Zero of course, but also Nat:

{-@ zero' :: Nat @-}
zero' = zero

and also Even:

{-@ zero'' :: Even @-}
zero'' = zero

and also any other satisfactory refinement, such as:

{-@ zero''' :: Lt100 @-}
zero''' = zero

SUBTYPING AND IMPLICATION Zero is the most precise type for 0::Int, as it is *subtype* of Nat, Even and Lt100. This is because the set of values defined by Zero is a *subset* of the values defined by Nat, Even and Lt100, as the following *logical implications* are valid:

- $v = 0 \Rightarrow 0 \le v$
- $v = 0 \Rightarrow v \mod 2 = 0$
- $v = 0 \Rightarrow v < 100$

COMPOSING REFINEMENTS If  $P \Rightarrow Q$  and  $P \Rightarrow R$  then  $P \Rightarrow Q \land R$ . Thus, when a term satisfies multiple refinements, we can compose those refinements with &&:

\begin{comment} ES: this is confusingly worded \end{comment}

{-@ zero'''' :: {v:Int | 0 <= v && v mod 2 == 0 && v < 100} @-}
zero'''' = 0</pre>

IN SUMMARY the key points about refinement types are:

- 1. A refinement type is just a type *decorated* with logical predicates.
- 2. A term can have *different* refinements for different properties.
- 3. When we *erase* the predicates we get the standard Haskell types.

#### Writing Specifications

Let's write some more interesting specifications.

TYPING DEAD CODE We can wrap the usual error function in a function die with the type:

{-@ die :: {v:String | false} -> a @-}
die msg = error msg

The interesting thing about die is that the input type has the refinement false, meaning the function must only be called with Strings that satisfy the predicate false. This seems bizarre; isn't it *impossible* to satisfy false? Indeed! Thus, a program containing die typechecks *only* when LiquidHaskell can prove that die is *never called*. For example, LiquidHaskell will *accept* 

<sup>o</sup> We use a different names 'zero'',
 'zero''' etc. as (currently) LiquidHaskell supports *at most* one refinement type for each top-level name.

 Dually, a standard Haskell type, has the trivial refinement true. For example, Int is equivalent to {v:Int|true}. cantDie = if 1 + 1 == 3
 then die "horrible death"
 else ()

by inferring that the branch condition is always False and so die cannot be called. However, LiquidHaskell will *reject* 

```
canDie = if 1 + 1 == 2
    then die "horrible death"
    else ()
```

as the branch may (will!) be True and so die can be called.

#### Refining Function Types: Pre-conditions

Let's use die to write a *safe division* function that *only accepts* non-zero denominators.

divide' :: Int -> Int -> Int divide' n 0 = die "divide by zero" divide' n d = n `div` d

From the above, it is clear to *us* that div is only called with nonzero divisors. However, LiquidHaskell reports an error at the call to "die" because, what if divide' is actually invoked with a 0 divisor?

We can specify that will not happen, with a *pre-condition* that says that the second argument is non-zero:

{-@ divide :: Int -> NonZero -> Int @-}
divide \_ 0 = die "divide by zero"
divide n d = n `div` d

To VERIFY that divide never calls die, LiquidHaskell infers that "divide by zero" is not merely of type String, but in fact has the the refined type {v:String | false} *in the context* in which the call to die' occurs. LiquidHaskell arrives at this conclusion by using the fact that in the first equation for divide the *denominator* is in fact

0 :: {v: Int | v == 0}

which *contradicts* the pre-condition (i.e. input) type. Thus, by contradition, LiquidHaskell deduces that the first equation is *dead code* and hence die will not be called at run-time.

ESTABLISHING PRE-CONDITIONS The above signature forces us to ensure that that when we *use* divide, we only supply provably NonZero arguments. Hence, these two uses of divide are fine:

avg2 x y = divide (x + y) 2 avg3 x y z = divide (x + y + z) 3

**Exercise 2.1** (List Average). *Consider the function* avg:

- 1. Why does LiquidHaskell flag an error at n?
- 2. How can you change the code so LiquidHaskell verifies it?

```
avg :: [Int] -> Int
avg xs = divide total n
where
total = sum xs
n = length xs
```

#### *Refining Function Types: Post-conditions*

Next, let's see how we can use refinements to describe the *outputs* of a function. Consider the following simple *absolute value* function

```
abs :: Int -> Int
abs n
| 0 < n = n
| otherwise = 0 - n
```

We can use a refinement on the output type to specify that the function returns non-negative values

{-@ abs :: Int -> Nat @-}

LiquidHaskell *verifies* that abs indeed enjoys the above type by deducing that n is trivially non-negative when 0 < n and that in the otherwise case, the value 0 - n is indeed non-negative.

#### Testing Values: Booleans and Propositions

In the above example, we *compute* a value that is guaranteed to be a Nat. Sometimes, we need to *test* if a value satisfies some property, e.g., is NonZero. For example, let's write a command-line *calculator*:

```
calc = do putStrLn "Enter numerator"
    n <- readLn
    putStrLn "Enter denominator"
    d <- readLn
    putStrLn (result n d)
    calc
```

<sup>o</sup> LiquidHaskell is able to automatically make these arithmetic deductions by using an SMT solver which has built-in decision procedures for arithmetic, to reason about the logical refinements. which takes two numbers and divides them. The function result checks if d is strictly positive (and hence, non-zero), and does the division, or otherwise complains to the user:

result n d
| isPositive d = "Result = " ++ show (n `divide` d)
| otherwise = "Humph, please enter positive denominator!"

Finally, isPositive is a test that returns a True if its input is strictly greater than 0 or False otherwise:

```
isPositive :: Int -> Bool
isPositive x = x > 0
```

To VERIFY the call to divide inside result we need to tell Liquid-Haskell that the division only happens with a NonZero value d. However, the non-zero-ness is established via the *test* that occurs inside the guard isPositive d. Hence, we require a *post-condition* that states that isPositive only returns True when the argument is positive:

{-@ isPositive :: x:Int -> {v:Bool | Prop v <=> x > 0} @-}

In the above signature, read Prop v as "v is True"; dually, read not (Prop v) as "v is False". Hence, the output type (post-condition) states that isPositive x returns True if and only if x was in fact strictly greater than 0. In other words, we can write post-conditions for plain-old Bool-valued *tests* to establish that user-supplied values satisfy some desirable property (here, Pos and hence NonZero) in order to then safely perform some computation on it.

**Exercise 2.2** (Propositions). What happens if you delete the type for isPositive ? Can you change the type for isPositive (*i.e. write some other type*) to while preserving safety?

**Exercise 2.3** (Assertions). Consider the following assert function, and two use sites. Write a suitable refinement type signature for lAssert so that lAssert and yes are accepted but no is rejected.

```
{-@ lAssert :: Bool -> a -> a @-}
lAssert True x = x
lAssert False _ = die "yikes, assertion fails!"
yes = lAssert (1 + 1 == 2) ()
no = lAssert (1 + 1 == 3) ()
```

Hint: You need a pre-condition that lAssert is only called with True.

#### Putting It All Together

Let's wrap up this introduction with a simple truncate function that connects all the dots.

```
truncate :: Int -> Int -> Int
truncate i max
| i' <= max' = i
| otherwise = max' * (i `divide` i')
where
i' = abs i
max' = abs max</pre>
```

The expression truncate i n evaluates to i when the absolute value of i is less the upper bound max, and otherwise *truncates* the value at the maximum n. LiquidHaskell verifies that the use of divide is safe by inferring that:

- 1. max' < i' from the branch condition,
- 2.  $0 \le i'$  from the abs post-condition, and
- 3. 0 <= max' from the abs post-condition.

From the above, LiquidHaskell infers that i' /= 0. That is, at the call site i' :: NonZero, thereby satisfying the pre-condition for divide and verifying that the program has no pesky divide-by-zero errors.

#### Recap

This concludes our quick introduction to Refinement Types and LiquidHaskell. Hopefully you have some sense of how to

- 1. **Specify** fine-grained properties of values by decorating their types with logical predicates.
- 2. Encode assertions, pre-conditions, and post-conditions with suitable function types.
- 3. **Verify** semantic properties of code by using automatic logic engines (SMT solvers) to track and establish the key relationships between program values.

# 3 Polymorphism

Refinement types shine when we want to establish properties of *polymorphic* datatypes and higher-order functions. Rather than be abstract, let's illustrate this with a classic and concrete use-case.

ARRAY BOUNDS VERIFICATION aims to ensure that the indices used to retrieve values from an array are indeed *valid* for the array, i.e. are between 0 and the *size* of the array. For example, suppose we create an array with two elements and then attempt to look it up at various indices:

```
twoLangs = fromList ["haskell", "javascript"]
eeks = [ok, yup, nono]
where
    ok = twoLangs ! 0
    yup = twoLangs ! 1
    nono = twoLangs ! 3
```

If we try to *run* the above, we get a nasty shock: an exception that says we're trying to look up twoLangs at index 3 whereas the size of twoLangs is just 2.

```
Prelude> :1 03-poly.lhs
[1 of 1] Compiling VectorBounds ( 03-poly.lhs, interpreted )
Ok, modules loaded: VectorBounds.
*VectorBounds> eeks
Loading package ... done.
"*** Exception: ./Data/Vector/Generic.hs:249 ((!)): index out of bounds (3,2)
```

IN A SUITABLE EDITOR e.g. Vim or Emacs, you will you will literally see the error *without* running the code. Next, let's see how Liquid-Haskell checks ok and yup but flags nono, and along the way, learn how LiquidHaskell reasons about *recursion*, *higher-order functions*, *data types*, and *polymorphism*.

#### Specification: Vector Bounds

First, let's see how to *specify* array bounds safety by *refining* the types for the key functions exported by Data.Vector, i.e. how to

- 1. *define* the size of a Vector
- 2. *compute* the size of a Vector
- 3. *restrict* the indices to those that are valid for a given size.

IMPORTS We can write specifications for imported modules – for which we *lack* the code – either directly in the client's source file or better, in . spec files which can be reused across multiple client modules. For example, we can write specifications for Data.Vector inside include/Data/Vector.spec which contains:

```
-- | Define the size
measure vlen :: Vector a -> Int
-- | Compute the size
assume length :: x:Vector a -> {v:Int | v = vlen x}
-- | Restrict the indices
assume ! :: x:Vector a -> {v:Nat | v < vlen x} -> a
```

MEASURES are used to define *properties* of Haskell data values that are useful for specification and verification. Think of vlen as the *actual* size of a Vector regardless of how the size was computed.

Assumes are used to *specify* types describing the semantics of functions that we cannot verify e.g. because we don't have the code for them. Here, we are assuming that the library function Data.Vector.length indeed computes the size of the input vector. Furthermore, we are stipulating that the lookup function (!) requires an index that is betwen 0 and the real size of the input vector x.

DEPENDENT REFINEMENTS are used to describe relationships *between* the elements of a specification. For example, notice how the signature for length names the input with the binder x that then appears in the output type to constrain the output Int. Similarly, the signature for (!) names the input vector x so that the index can be constrained to be valid for x. Thus, dependency is essential for writing properties that connect different program values.

ALIASES are extremely useful for defining *abbreviations* for commonly occuring types. Just as we enjoy abstractions when programming, we

will find it handy to have abstractions in the specification mechanism. To this end, LiquidHaskell supports *type aliases*. For example, we can define Vectors of a given size N as:

{-@ type VectorN a N = {v:Vector a | vlen v == N} @-}

and now use this to type twoLangs above as:

```
{-@ twoLangs :: VectorN String 2 @-}
twoLangs = fromList ["haskell", "javascript"]
```

Similarly, we can define an alias for Int values between Lo and Hi:

{-@ type Btwn Lo Hi = {v:Int | Lo <= v && v < Hi} @-}

after which we can specify (!) as:

```
(!) :: x:Vector a -> Btwn 0 (vlen x) -> a
```

Verification: Vector Lookup

Let's try write some functions to sanity check the specifications. First, find the starting element – or head of a Vector

head :: Vector a -> a head vec = vec ! 0

When we check the above, we get an error:

```
src/03-poly.lhs:127:23: Error: Liquid Type Mismatch
Inferred type
VV : Int | VV == ?a && VV == 0
not a subtype of Required type
VV : Int | VV >= 0 && VV < vlen vec
In Context
VV : Int | VV == ?a && VV == 0
vec : Vector a | 0 <= vlen vec
?a : Int | ?a == (0 : int)</pre>
```

LiquidHaskell is saying that 0 is *not* a valid index as it is not between 0 and vlen vec. Say what? Well, what if vec had *no* elements! A formal verifier doesn't make *off by one* errors.

To Fix the problem we can do one of two things.

- 1. *Require* that the input vec be non-empty, or
- 2. Return an output if vec is non-empty, or

Here's an implementation of the first approach, where we define and use an alias NEVector for non-empty Vectors

{-@ type NEVector a = {v:Vector a | 0 < vlen v} @-}
{-@ head' :: NEVector a -> a @-}
head' vec = vec ! 0

**Exercise 3.1** (Vector Head). *Replace the* undefined *with an* implementation *of* head'' *which accepts* all Vectors *but returns a value only when the input* vec *is not empty.* 

head'' :: Vector a -> Maybe a
head'' vec = undefined

**Exercise 3.2** (Unsafe Lookup). *The function* unsafeLookup *is a wrapper around the* (!) *with the arguments flipped. Modify the specification for* unsafeLookup *so that the* implementation *is accepted by LiquidHaskell.* 

{-@ unsafeLookup :: Int -> Vector a -> a @-}
unsafeLookup index vec = vec ! index

**Exercise 3.3** (Safe Lookup). *Complete the implementation of* safeLookup *by filling in the implementation of* ok *so that it performs a bounds check before the access.* 

#### Inference: Our First Recursive Function

Ok, let's write some code! Let's start with a recursive function that adds up the values of the elements of an Int vector.

```
-- >>> vectorSum (fromList [1, -2, 3])
-- 2
vectorSum :: Vector Int -> Int
vectorSum vec = go 0 0
```

```
where
go acc i
    | i < sz = go (acc + (vec ! i)) (i + 1)
    | otherwise = acc
sz = length vec</pre>
```

**Exercise 3.4** (Guards). What happens if you replace the guard with i <= sz?

**Exercise 3.5** (Absolute Sum). *Write a variant of the above function that computes the* absoluteSum *of the elements of the vector.* 

```
-- >>> absoluteSum (fromList [1, -2, 3])
-- 6
{-@ absoluteSum :: Vector Int -> Nat @-}
absoluteSum = undefined
```

INFERENCE LiquidHaskell verifies vectorSum – or, to be precise, the safety of the vector accesses vec ! i. The verification works out because LiquidHaskell is able to *automatically infer* 

go :: Int -> {v:Int | 0 <= v && v <= sz} -> Int

which states that the second parameter i is between 0 and the length of vec (inclusive). LiquidHaskell uses this and the test that i < sz to establish that i is between 0 and (vlen vec) to prove safety.

**Exercise 3.6** (Off by one?). Why does the type of go have  $v \le z$  and not  $v \le z$ ?

Higher-Order Functions: Bottling Recursion in a loop

Let's refactor the above low-level recursive function into a generic higher-order loop.

We can now use loop to implement vectorSum:

° In your editor, click on go to see the inferred type.

```
vectorSum' :: Vector Int -> Int
vectorSum' vec = loop 0 n 0 body
where
body i acc = acc + (vec ! i)
n = length vec
```

INFERENCE is a convenient option. LiquidHaskell finds:

loop :: lo:Nat -> hi:{Nat|lo <= hi} -> a -> (Btwn lo hi -> a -> a) -> a

In english, the above type states that

- 10 the loop *lower* bound is a non-negative integer
- hi the loop *upper* bound is a greater than lo,
- f the loop *body* is only called with integers between 10 and hi.

It can be tedious to have to keep typing things like the above. If we wanted to make loop a public or exported function, we could use the inferred type to generate an explicit signature.

At the call loop 0 n 0 body the parameters lo and hi are instantiated with 0 and n respectively, which, by the way is where the inference engine deduces non-negativity. Thus LiquidHaskell concludes that body is only called with values of i that are *between* 0 and (vlen vec), which verifies the safety of the call vec ! i.

**Exercise 3.7** (Using Higher-Order Loops). *Complete the implementation of* absoluteSum' *below. When you are done, what is the type that is inferred for* body?

**Exercise 3.8** (Dot Product). *The following function uses* loop *to compute* dotProducts. *Why does LiquidHaskell flag an error? Fix the code or specification so that LiquidHaskell accepts it.* 

```
-- >>> dotProduct (fromList [1,2,3]) (fromList [4,5,6])
-- 32
{-@ dotProduct :: x:Vector Int -> y:Vector Int -> Int @-}
dotProduct x y = loop 0 sz 0 body
where
    sz = length x
    body i acc = acc + (x ! i) * (y ! i)
```

#### Refinements and Polymorphism

While the standard Vector is great for *dense* arrays, often we have to manipulate *sparse* vectors where most elements are just 0. We might represent such vectors as a list of index-value tuples:

 $\{-@ type SparseN a N = [(Btwn 0 N, a)] @-\}$ 

Implicitly, all indices *other* than those in the list have the value 0 (or the equivalent value for the type a).

ALIAS SparseN is just a shorthand for the (longer) type on the right, it does not *define* a new type. If you are familiar with the *index-style* length encoding e.g. as found in DML or Agda, then note that despite appearances, our Sparse definition is *not* indexed.

SPARSE PRODUCTS Let's write a function to compute a sparse product

```
{-@ sparseProduct :: x:Vector _ -> SparseN _ (vlen x) -> _ @-}
sparseProduct x y = go 0 y
where
go n ((i,v):y') = go (n + (x!i) * v) y'
go n [] = n
```

LiquidHaskell verifies the above by using the specification to conclude that for each tuple (i, v) in the list y, the value of i is within the bounds of the vector x, thereby proving x ! i safe.

FOLDS The sharp reader will have undoubtedly noticed that the sparse product can be more cleanly expressed as a fold:

foldl' :: (a -> b -> a) -> a -> [b] -> a

We can simply fold over the sparse vector, accumulating the sum as we go along {-@ sparseProduct' :: x:Vector \_ -> SparseN \_ (vlen x) -> \_ @-}
sparseProduct' x y = foldl' body 0 y
where
body sum (i, v) = sum + (x ! i) \* v

LiquidHaskell digests this without difficulty. The main trick is in how the polymorphism of foldl' is instantiated.

- 1. GHC infers that at this site, the type variable b from the signature of foldl' is instantiated to the Haskell type (Int, a).
- Correspondingly, LiquidHaskell infers that in fact b can be instantiated to the *refined* (Btwn 0 v (vlen x), a).

Thus, the inference mechanism saves us a fair bit of typing and allows us to reuse existing polymorphic functions over containers and such without ceremony.

#### Recap

This chapter gave you an idea of how one can use refinements to verify size related properties, and more generally, to specify and verify properties of recursive and polymorphic functions. Next, let's see how we can use LiquidHaskell to prevent the creation of illegal values by refining data type definitions.

## 4 *Refined Datatypes*

So far, we have seen how to refine the types of *functions*, to specify, for example, pre-conditions on the inputs, or postconditions on the outputs. Very often, we wish to define *datatypes* that satisfy certain invariants. In these cases, it is handy to be able to directly refine the the data definition, making it impossible to create illegal inhabitants.

#### Sparse Vectors Revisited

As our first example of a refined datatype, let's revisit the sparse vector representation that we saw earlier. The SparseN type alias we used got the job done, but is not pleasant to work with because we have no way of determining the *dimension* of the sparse vector. Instead, let's create a new datatype to represent such vectors:

data Sparse a = SP { spDim :: Int
 , spElems :: [(Int, a)] }

Thus, a sparse vector is a pair of a dimension and a list of indexvalue tuples. Implicitly, all indices *other* than those in the list have the value  $\emptyset$  or the equivalent value type a.

LEGAL Sparse vectors satisfy two crucial properties. First, the dimension stored in spDim is non-negative. Second, every index in spElems must be valid, i.e. between 0 and the dimension. Unfortunately, Haskell's type system does not make it easy to ensure that *illegal vectors are not representable*.

DATA INVARIANTS LiquidHaskell lets us enforce these invariants with a refined data definition:

 <sup>o</sup> The standard approach is to use abstract types and <u>smart constructors</u> but even then there is only the informal guarantee that the smart constructor establishes the right invariants. Where, as before, the we use the aliases:

{-@ type Nat = {v:Int | 0 <= v} @-}
{-@ type Btwn Lo Hi = {v:Int | Lo <= v && v < Hi} @-}</pre>

REFINED DATA CONSTRUCTORS The refined data definition is internally converted into refined types for the data constructor SP:

```
-- Generated Internal representation
data Sparse a where
   SP :: spDim:Nat -> spElems:[(Btwn 0 spDim, a)] -> Sparse a
```

{#autosmart} In other words, by using refined input types for SP we have automatically converted it into a *smart* constructor that ensures that *every* instance of a Sparse is legal. Consequently, Liquid-Haskell verifies:

but rejects, due to the invalid index:

FIELD MEASURES It is convenient to write an alias for sparse vectors of a given size N. We can use the field name spDim as a *measure*, like vlen. That is, we can use spDim inside refinements:

{-@ type SparseN a N = {v:Sparse a | spDim v == N} @-}

SPARSE PRODUCTS Let's write a function to compute a sparse product

```
{-@ dotProd :: x:Vector Int -> SparseN Int (vlen x) -> Int @-}
dotProd x (SP _ y) = go 0 y
where
go sum ((i, v) : y') = go (sum + (x ! i) * v) y'
go sum [] = sum
```

LiquidHaskell verifies the above by using the specification to conclude that for each tuple (i, v) in the list y, the value of i is within the bounds of the vector x, thereby proving x ! i safe.

FOLDED PRODUCT We can port the fold-based product to our new representation:

```
{-@ dotProd' :: x:Vector Int -> SparseN Int (vlen x) -> Int @-}
dotProd' x (SP _ y) = foldl' body 0 y
where
body sum (i, v) = sum + (x ! i) * v
```

As before, LiquidHaskell checks the above by automatically instantiating refinements for the type parameters of foldl', saving us a fair bit of typing and enabling the use of the elegant polymorphic, higher-order combinators we know and love.

EXERCISE 4.1. **[Sanitization]** Invariants are all well and good for data computed *inside* our programs. The only way to ensure the legality of data coming from *outside*, i.e. from the "real world", is to writing a sanitizer that will check the appropriate invariants before constructing a Sparse vector. Write the specification and implementation of a sanitizer fromList, so that the following typechecks:

```
fromList :: Int -> [(Int, a)] -> Maybe (Sparse a)
fromList dim elts = undefined
{-@ test1 :: SparseN String 3 @-}
test1 = fromJust $ fromList 3 [(0, "cat"), (2, "mouse")]
```

EXERCISE 4.2. **[Addition]** Write the specification and implementation of a function plus that performs the addition of two Sparse vectors of the *same* dimension, yielding an output of that dimension. When you are done, the following code should typecheck:

```
plus :: (Num a) => Sparse a -> Sparse a -> Sparse a
plus x y = undefined

{-@ test2 :: SparseN Int 3 @-}
test2 = plus vec1 vec2
where
vec1 = SP 3 [(0, 12), (2, 9)]
vec2 = SP 3 [(0, 8), (1, 100)]
```

#### Ordered Lists

As a second example of refined data types, let's consider a different problem: representing *ordered* sequences. Here's a type for sequences that mimics the classical list:

#### infixr 9 :<</pre>

The Haskell type above does not state that the elements be in order of course, but we can specify that requirement by refining *every* element in t1 to be *greater than* hd:

REFINED DATA CONSTRUCTORS Once again, the refined data definition is internally converted into a "smart" refined data constructor

```
-- Generated Internal representation
data IncList a where
Emp :: IncList a
 (:<) :: hd:a -> tl:IncList {v:a | hd <= v} -> IncList a
```

which ensures that we can only create legal ordered lists.

```
      okList = 1 :< 2 :< 3 :< Emp</td>
      -- accepted by LH

      badList = 2 :< 1 :< 3 :< Emp</td>
      -- rejected by LH
```

Its all very well to *specify* ordered lists. Next, lets see how its equally easy to *establish* these invariants by implementing several textbook sorting routines.

INSERTION SORT First, lets implement insertion sort, which converts an ordinary list [a] into an ordered list IncList a.

```
insertSort :: (Ord a) => [a] -> IncList a
insertSort [] = Emp
insertSort (x:xs) = insert x (insertSort xs)
```

The hard work is done by insert which places an element into the correct position of a sorted list. LiquidHaskell infers that if you give insert an element and a sorted list, it returns a sorted list.

```
insert :: (Ord a) => a -> IncList a -> IncList a
insert y Emp = y :< Emp
insert y (x :< xs)
| y <= x = y :< x :< xs
| otherwise = x :< insert y xs</pre>
```

EXERCISE 4.3. Complete the implementation of the function below to use foldr to eliminate the explicit recursion in insertSort.

```
insertSort' :: (Ord a) => [a] -> IncList a
insertSort' xs = foldr f b xs
where
f = undefined -- Fill this in
b = undefined -- Fill this in
```

MERGE SORT Similarly, it is easy to write merge sort, by implementing the three steps. First, we write a function that *splits* the input into two equal sized halves:

```
split :: [a] -> ([a], [a])
split (x:y:zs) = (x:xs, y:ys)
where
    (xs, ys) = split zs
split xs = (xs, [])
```

Second, we need a function that combines two ordered lists

Finally, we compose the above steps to divide (i.e. split) and conquer (sort and merge) the input list:

```
{-@ mergeSort :: (Ord a) => [a] -> IncList a @-}
mergeSort [] = Emp
mergeSort [x] = x :< Emp
mergeSort xs = merge (mergeSort ys) (mergeSort zs)
where
   (ys, zs) = split xs</pre>
```

EXERCISE 4.4. Why is the following implementation of quickSort rejected by LiquidHaskell? Modify it so it is accepted.

```
quickSort :: (Ord a) => [a] -> IncList a
quickSort [] = Emp
quickSort (x:xs) = append lessers greaters
where
```

lessers = quickSort [y | y <- xs, y < x ]
greaters = quickSort [z | z <- xs, z >= x]
append :: (Ord a) => IncList a -> IncList a -> IncList a
append Emp ys = ys
append (x :< xs) ys = x :< append xs ys</pre>

#### Ordered Trees

As a last example of refined data types, let us consider binary search ordered trees, defined thus:

BINARY SEARCH TREES enjoy the property that each root lies (strictly) between the elements belonging in the left and right subtrees hanging off the the root. The ordering invariant makes it easy to check whether a certain value occurs in the tree. If the tree is empty i.e. a Leaf, then the value does not occur in the tree. If the given value is at the root then the value does occur in the tree. If it is less than (respectively greater than) the root, we recursively check whether the value occurs in the left (respectively right) subtree.

Figure 4.1 shows a binary search tree whose nodes are labeled with a subset of values from 1 to 9. We might represent such a tree with the Haskell value:

REFINED DATA TYPE The Haskell type says nothing about the ordering invariant, and hence, cannot prevent us from creating illegal BST values that violate the invariant. We can remedy this with a refined data definition that captures the invariant:



Figure 4.1: A Binary Search Tree with values between 1 and 9. Each root's value lies between the values appearing in its left and right subtrees.

REFINED DATA CONSTRUCTORS As before, the above data definition creates a refined "smart" constructor for BST

```
data BST a where
Leaf :: BST a
Node :: r:a -> BST {v:a | v < r} -> BST {v:a | r < v} -> BST a
```

which prevents us from creating illegal trees

EXERCISE 4.5. Can a BST Int contain duplicates?

MEMBERSHIP Lets write some functions to create and manipulate these trees. First, a function to check whether a value is in a BST:

SINGLETON Next, another easy warm-up: a function to create a BST with a single given element:

one :: a -> BST a
one x = Node x Leaf Leaf

INSERTION Next, lets write a function that adds an element to a BST.

° Amusingly, while typing out the below I swapped the k and k' which caused LiquidHaskell to complain.

```
add :: (Ord a) => a -> BST a -> BST a
add k' Leaf = one k'
add k' t@(Node k l r)
| k' < k = Node k (add k' l) r
| k < k' = Node k l (add k' r)
| otherwise = t
```

MINIMUM Next, lets write a function to delete the *minimum* element from a BST. This function will return a *pair* of outputs – the smallest element and the remainder of the tree. We can say that the output element is indeed the smallest, by saying that the remainder's elements exceed the element. To this end, lets define a helper type:

data MinPair a = MP { minElt :: a, rest :: BST a }

We can specify that minElt is indeed smaller than all the elements in rest via the data type refinement:

<sup>o</sup> This helper type approach is rather verbose. We should be able to just use plain old pairs and specify the above requirement as a *dependency* between the pairs' elements. Later, we will see how to do so using abstract refinements.

{-@ data MinPair a = MP { minElt :: a, rest :: BST {v:a | minElt < v} } @-}</pre>

Finally, we can write the code to compute MinPair

```
delMin :: (Ord a) => BST a -> MinPair a
delMin (Node k Leaf r) = MP k r
delMin (Node k l r) = MP k' (Node k l' r)
where
    MP k' l' = delMin l
delMin Leaf = die "Don't say I didn't say I didn't warn ya!"
```

EXERCISE 4.6. **[Deletion]** Use delMin to complete the implementation of del which *deletes* a given element from a BST, if it is present.

```
del :: (Ord a) => a -> BST a -> BST a
del k' t@(Node k l r) = undefined
del _ t = t
```

EXERCISE 4.7. The function delMin is only sensible for non-empty trees. Read ahead to learn how to specify and verify that it is only called with such trees, and then apply that technique here to verify the call to die in delMin.

EXERCISE 4.8. Complete the implementation of toIncList to obtain a BST based sorting routine bstSort.
```
bstSort :: (Ord a) => [a] -> IncList a
bstSort = toIncList . toBST
toBST :: (Ord a) => [a] -> BST a
toBST = foldr add Leaf
toIncList :: BST a -> IncList a
toIncList = undefined
```

*Hint:* This exercise will be a lot easier after you finish the quickSort exercise. Note that the signature for toIncList does not use 0rd and so you cannot use a sorting procedure to implement it.

#### Recap

In this chapter we saw how LiquidHaskell lets you refine data type definitions to capture sophisticated invariants. These definitions are internally represented by refining the types of the data constructors, automatically making them "smart" in that they preclude the creation of illegal values that violate the invariants. We will see much more of this handy technique in future chapters.

One recurring theme in this chapter was that we had to create new versions of standard datatypes, just in order to specify certain invariants. For example, we had to write a special list type, with its own *copies* of nil and cons. Similarly, to implement delMin we had to create our own pair type.

THIS DUPLICATION of types is quite tedious. There should be a way to just slap the desired invariants on to *existing* types, thereby facilitating their reuse. In a few chapters, we will see how to achieve this reuse by *abstracting refinements* from the definitions of datatypes or functions in the same way we abstract the element type a from containers like [a] or BST a.

## 5 Boolean Measures

In the last two chapters, we saw how refinements could be used to reason about the properties of basic Int values like vector indices, or the elements of a list. Next, lets see how we can describe properties of aggregate structures like lists and trees, and use these properties to improve the APIs for operating over such structures.

## Partial Functions

As a motivating example, let us return to problem of ensuring the safety of division. Recall that we wrote:

{-@ divide :: Int -> NonZero -> Int @-}
divide \_ 0 = die "divide-by-zero"
divide x n = x `div` n

THE PRECONDITION asserted by the input type NonZero allows LiquidHaskell to prove that the die is *never* executed at run-time, but consequently, requires us to establish that wherever divide is *used*, the second parameter be provably non-zero. This is requirement is not onerous when we know exactly what the divisor is *statically* 

avg2 x y = divide (x + y) 2 avg3 x y z = divide (x + y + z) 3

However, it can be more of a challenge when the divisor is obtained *dynamically*. For example, lets write a function to find the number of elements in a list

size :: [a] -> Int
size [] = 0
size (\_:xs) = 1 + size xs

and use it to compute the average value of a list:

```
avgMany xs = divide total elems
where
total = sum xs
elems = size xs
```

Uh oh. LiquidHaskell wags its finger at us!

```
src/04-measure.lhs:77:27-31: Error: Liquid Type Mismatch
Inferred type
VV : Int | VV == elems
not a subtype of Required type
VV : Int | 0 /= VV
In Context
VV : Int | VV == elems
elems : Int
```

WE CANNOT PROVE that the divisor is NonZero, because it *can be* 0 – when the list is *empty*. Thus, we need a way of specifying that the input to avgMany is indeed non-empty!

#### Lifting Functions to Measures

How shall we tell LiquidHaskell that a list is *non-empty*? Recall the notion of measure previously introduced to describe the size of a Data.Vector. In that spirit, lets write a function that computes whether a list is not empty:

notEmpty :: [a] -> Bool
notEmpty [] = False
notEmpty (\_:\_) = True

A MEASURE is a *total* Haskell function,

- 1. With a *single* equation per data constructor, and
- 2. Guaranteed to terminate, typically via structural recursion.

We can tell LiquidHaskell to *lift* a function meeting the above requirements into the refinement logic by declaring:

```
{-@ measure notEmpty @-}
```

NON-EMPTY LISTS To use the newly defined measure, we define an alias for non-empty lists, i.e. the *subset* of plain old Haskell lists [a] for which the predicate notEmpty holds

```
{-@ type NEList a = {v:[a] | notEmpty v} @-}
```

We can now refine various signatures to establish the safety of the list-average function.

SIZE First, we specify that size returns a non-zero value when the input list is not-empty:

{-@ size :: xs:[a] -> {v:Nat | notEmpty xs => v > 0} @-}

AVERAGE Second, we specify that the average is only sensible for non-empty lists:

```
{-@ average :: NEList Int -> Int @-}
average xs = divide total elems
  where
    total = sum xs
    elems = size xs
```

EXERCISE 5.1. Fix the code below to obtain an alternate variant average' that returns Nothing for empty lists:

```
average' :: [Int] -> Maybe Int
average' xs
| ok = Just $ divide total elems
| otherwise = Nothing
where
total = sum xs
elems = size xs
ok = True -- What expression goes here?
```

EXERCISE 5.2. An important aspect of formal verifiers like LiquidHaskell is that they help establish properties not just of your *implementations* but equally, or more importantly, of your *specifications*. In that spirit, can you explain why the following two variants of size are *rejected* by LiquidHaskell?

```
{-@ size1 :: xs:(NEList a) -> Pos @-}
size1 [] = 0
size1 (_:xs) = 1 + size1 xs
{-@ size2 :: xs:[a] -> {v:Int | notEmpty xs => v > 0} @-}
size2 [] = 0
size2 (_:xs) = 1 + size2 xs
```

#### **TODO** solution

#### A Safe List API

Now that we can talk about non-empty lists, we can ensure the safety of various list-manipulating functions which are only well-defined on non-empty lists and which crash with unexpected run-time errors otherwise.

HEADS AND TAILS For example, we can type the potentially dangerous head and tail as:

```
{-@ head :: NEList a -> a @-}
head (x:_) = x
head [] = die "Fear not! 'twill ne'er come to pass"
{-@ tail :: NEList a -> [a] @-}
tail (_:xs) = xs
tail [] = die "Relaxeth! this too shall ne'er be"
```

LiquidHaskell deduces that the second equations are *dead code* thanks to the precondition, which ensures callers only supply non-empty arguments.

EXERCISE 5.3. Write down a specification for null such that safeHead is verified:

```
safeHead :: [a] -> Maybe a
safeHead xs
  | null xs = Nothing
  | otherwise = Just $ head xs

{-@ null :: xs:[a] -> Bool @-}
null [] = True
null (_:_) = False
```

GROUPS Lets use the above to write a function that chunks sequences into non-empty groups of equal elements:

{-@ groupEq	:: (Eq a) => [a] -> [NEList a] @-}
groupEq []	= []
groupEq (x:xs)	= (x:ys) : groupEq zs
where	
(ys, zs)	= span (x ==) xs

By using the fact that *each element* in the output returned by groupEq is in fact of the form x:ys, LiquidHaskell infers that groupEq returns a [NEList a] that is, a list of *non-empty lists*.

We can use groupEq to write a function that eliminates stuttering from a String:

```
-- >>> eliminateStutter "ssstringssss liiiiiiike thisss"
-- "strings like this"
eliminateStutter xs = map head $ groupEq xs
```

LiquidHaskell automatically instantiates the type parameter for map in eliminateStutter to notEmpty v to deduce that head is only called on non-empty lists.

FOLDS One of my favorite folds is foldr1 which uses the first element of the sequence as the initial value. Of course, it should only be called with non-empty sequences!

```
{-@ foldr1 :: (a -> a -> a) -> NEList a -> a @-}
foldr1 f (x:xs) = foldr f x xs
foldr1 _ [] = die "foldr1"
foldr :: (a -> b -> b) -> b -> [a] -> b
foldr _ acc [] = acc
foldr f acc (x:xs) = f x (foldr f acc xs)
```

SUM Thanks to the precondition, LiquidHaskell will prove that the die code is indeed dead. Thus, we can write

{-@ sum :: (Num a) => NEList a -> a @-}
sum [] = die "cannot add up empty list"
sum xs = foldr1 (+) xs

Consequently, we can only invoke sum on non-empty lists, so:

sumOk = sum [1,2,3,4,5] -- accepted by LH
sumBad = sum [] -- rejected by LH

EXERCISE 5.4. The function below computes a weighted average of its input. Unfortunately, LiquidHaskell is not very happy about it. Can you figure out why, and fix the code or specification appropriately?

*Hint:* On what variables are the errors? How are those variables' values computed? Can you think of a better specification for the function(s) doing those computations?

EXERCISE 5.5. Non-empty lists pop up in many places, and it is rather convenient to have the type system track non-emptiness without having to make up special types. Consider the risers function:

```
risers
                 :: (Ord a) => [a] -> [[a]]
risers []
                = []
risers [x]
                = [[x]]
risers (x:y:etc)
  | x <= y
                = (x:s) : ss
                = [x] : (s : ss)
  | otherwise
    where
              = safeSplit $ risers (y:etc)
      (s, ss)
{-@ safeSplit
                :: NEList a -> (a, [a]) @-}
safeSplit (x:xs) = (x, xs)
safeSplit _
                = die "don't worry, be happy"
```

° Popularized by [Neil Mitchell](http://neilmitchell.blogspot.com/2008/03/sortir at-speed.html)

The call to safeSplit requires its input be non-empty, and Liquid-Haskell does not believe that the call inside risers meets this requirement. Can you devise a specification for risers that allows LiquidHaskell to verify the call to safeSplit that risers will not die?

## Recap

In this chapter we saw how LiquidHaskell lets you

- 1. Define structural properties of data types,
- 2. Use refinements over these properties to describe key invariants that establish, at compile-time, the safety of operations that might otherwise fail on unexpected values at run-time, all while,
- 3. Working with plain Haskell types, here, Lists, without having to make up new types which can have the unfortunate effect of adding a multitude of constructors and conversions which often clutter implementations and specifications.

Of course, We can do a lot more with measures, so lets press on!

## 6 Numeric Measures

Many of the programs we have seen so far, for example those in here, suffer from *indexitis* 

a tendency to perform low-level manipulations to iterate over the indices into a collection, which opens the door to various offby-one errors. Such errors can be entirely eliminated by instead programming at a higher level, using a wholemeal approach where the emphasis is on using aggregate operations, like map, fold and reduce. However, wholemeal programming requires us to take care when operating on multiple collections; if these collections are *incompatible*, e.g. have the wrong dimensions, then we end up with a fate worse than a crash, a *meaningless* result.

Fortunately, LiquidHaskell can help. Lets see how we can use measures to specify dimensions and create a dimension-aware API for lists which can be used to implement wholemeal dimension-safe APIs.

### Wholemeal Programming

Indexitis begone! As an example of wholemeal programming, lets write a small library that represents vectors as lists and matrices as nested vectors:

```
data Vector a = V { vDim :: Int
    , vElts :: [a]
    }
    deriving (Eq)

data Matrix a = M { mRow :: Int
    , mCol :: Int
    , mElts :: Vector (Vector a)
    }
    deriving (Eq)
```

° A term coined by Richard Bird

° In a later chapter we will use this API to implement K-means clustering.

VECTOR PRODUCT We can write the dot product of two Vectors using a fold:

```
dotProd :: (Num a) => Vector a -> Vector a -> a
dotProd vx vy = sum (prod xs ys)
where
prod = zipWith (\x y -> x * y)
xs = vElts vx
ys = vElts vy
```

MATRIX PRODUCT Similarly, we can compute the product of two matrices in a wholemeal fashion, without performing any low-level index manipulations, but instead using a high-level "iterator" over the elements of the matrix.

ITERATION In the above, the "iteration" embodied in for is simply a map over the elements of the vector.

for (V n xs) f = V n (map f xs)

WHOLEMEAL PROGRAMMING FREES us from having to fret about low-level index range manipulation, but is hardly a panacea. Instead, we must now think carefully about the *compatibility* of the various aggreates. For example,

- dotProd is only sensible on vectors of the same dimension; if one vector is shorter than another (i.e. has fewer elements) then we will won't get a run-time crash but instead will get some gibberish result that will be dreadfully hard to debug.
- matProd is only well defined on matrices of compatible dimensions; the number of columns of mx must equal the number of rows of my. Otherwise, again, rather than an error, we will get the wrong output.

<sup>o</sup> In fact, while the implementation of 'matProd' breezes past GHC it is quite wrong!

## Specifying List Dimensions

In order to start reasoning about dimensions, we need a way to represent the *dimension* of a list inside the refinement logic.

MEASURES are ideal for this task. Previously we saw how we could lift Haskell functions up to the refinement logic. Lets write a measure to describe the length of a list:

```
{-@ measure len @-}
len
          :: [a] -> Int
          = 0
len []
len (_:xs) = 1 + len xs
{-@ measure size @-}
        :: xs:[a] -> {v:Nat | v = size xs && v = len xs} @-}
{- size
           :: xs:[a] -> Nat @-}
{-@ size
size
           :: [a] -> Int
size (_:rs) = 1 + size rs
size []
        = 0
```

MEASURES REFINE CONSTRUCTORS As with refined data definitions, the measures are translated into strengthened types for the type's constructors. For example, the size measure is translated into:

data [a] where
[] :: {v: [a] | size v = 0}
(:) :: x:a -> xs:[a] -> {v:[a] | size v = 1 + size xs}

MULTIPLE MEASURES We can write several different measures for a datatype. For example, in addition to the size measure, we can define a notEmpty measure for the list type:

```
{-@ measure notEmpty @-}
notEmpty :: [a] -> Bool
notEmpty [] = False
notEmpty (_:_) = True
```

COMPOSING MEASURES LiquidHaskell lets you *compose* the different measures simply by *conjoining* the refinements in the strengthened constructors. For example, the two measures for lists end up yielding the constructors:

```
data [a] where
[] :: {v: [a] | not (notEmpty v) && size v = 0}
(:) :: x:a -> xs:[a] -> {v:[a] | notEmpty v && size v = 1 + size xs}
```

<sup>o</sup> We could just use 'vDim', but that is a lazy cheat as there is no guarantee that the field's value actually equals the size of the list!

<sup>o</sup> Recall that these must be inductively defined functions, with a single equation per data-constructor

This is a very significant advantage of using measures instead of indices as in DML or Agda, as *decouples property from structure*, which crucially enables the use of the same structure for many different purposes. That is, we need not know *a priori* what indices to bake into the structure, but can define a generic structure and refine it *a posteriori* as needed with new measures.

Lets use size to create a dimension-aware API for lists. To get the ball rolling, lets defining a few helpful type aliases:

AN 'N'-LIST is a list with exactly N elements:

{-@ type ListN a N = {v : [a] | size v = N} @-}

To make the signatures symmetric, lets use an alias for plain old Lists:

type List a = [a]

#### Lists: Size Preserving API

With the types firmly in hand, let us write dimension-aware variants of the usual list functions. The implementations are the same as in the standard library i.e. Data.List; but the specifications are enriched with dimension information.

'MAP' yields a list with the same size as the input:

{-@ invariant {v:[a] | 0 <= size v} @-}</pre>

zipWith \_ [] []

zipWith \_ \_ \_

zipWith f (a:as) (b:bs) = f a b : zipWith f as bs

= []

{-@ map :: (a -> b) -> xs:List a -> ListN b (size xs) @-}
map \_ [] = []
map f (x:xs) = f x : map f xs

ZIPWITH requires both lists to have the *same* size, and produces a list with that same size.

° Note that as made explicit by the call to 'die', the input type \*rules out\* the case where one list is empty and the other is not, as in that case the former's length is zero while the latter's is not, and hence, different.

```
UNSAFEZIP The signature for zipWith is quite severe – it rules out the case where the zipping occurs only upto the shorter input. Here's a
```

{-@ zipWith :: \_ -> xs:List a -> ListN b (size xs) -> ListN c (size xs) @-}

= die "no other cases"

<sup>o</sup> Note that when defining refinement type aliases, we use uppercase variables like 'N' to distinguish value- parameters from the lowercase type parameters like 'a'. function that actually allows for that case, where the output type is the *shorter* of the two inputs:

```
{-@ zip :: as:[a] -> bs:[b] -> {v:[(a,b)] | Min (size v) (size as) (size bs)} @-}
zip (a:as) (b:bs) = (a, b) : zip as bs
zip [] _ = []
zip _ [] = []
```

The output type uses the following which defines X to be the smaller of Y and Z.

° Note that if p then q else r is simply an abbreviation for p => q && not p => r

```
{-@ predicate Min X Y Z = (if X < Y then X = Y else X = Z) @-}
```

EXERCISE 6.1. [ZIP UNLESS EMPTY] In my experience, zip as shown above is far too permissive and lets all sorts of bugs into my code. As middle ground, consider zipOrNull below. Write a specification for zipOrNull such that the code below is verified by LiquidHaskell:

```
zipOrNull :: [a] -> [b] -> [(a, b)]
zipOrNull [] _ = []
zipOrNull _ [] = []
zipOrNull xs ys = zipWith (,) xs ys
{-@ test1 :: {v: _ | size v = 2} @-}
test1 = zipOrNull [0, 1] [True, False]
{-@ test2 :: {v: _ | size v = 0} @-}
test2 = zipOrNull [] [True, False]
{-@ test3 :: {v: _ | size v = 0} @-}
test3 = zipOrNull ["cat", "dog"] []
```

*Hint:* Yes, the type is rather gross; it uses a bunch of disjunctions || , conjunctions && and implications =>.

EXERCISE 6.2. [REVERSE] Consider the code below that reverses a list using the tail-recursive go. Fix the signature for go so that LiquidHaskell can prove the specification for reverse.

```
{-@ reverse :: xs:[a] -> {v:[a] | size v = size xs} @-}
reverse xs = go [] xs
where
    {-@ go :: xs:[a] -> ys:[a] -> [a] @-}
    go acc [] = acc
    go acc (x:xs) = go (x:acc) xs
```

*Hint:* How big is the list returned by go?

### Lists: Size Reducing API

Next, lets look at some functions that truncate lists, in one way or another.

TAKE lets us grab the first k elements from a list:

```
{-@ take' :: n:Nat -> {v:List a | n <= size v} -> ListN a n @-}
take' 0 _ = []
take' n (x:xs) = x : take' (n-1) xs
take' _ _ = die "won't happen"
```

EXERCISE 6.3. [DROP] is the yang to take's yin: it returns the remainder after extracting the first k elements. Write a suitable specification for it so that the below typechecks:

```
drop 0 xs = xs
drop n (_:xs) = drop (n-1) xs
drop _ _ = die "won't happen"
{-@ test4 :: ListN String 2 @-}
test4 = drop 1 ["cat", "dog", "mouse"]
```

EXERCISE 6.4. [TAKE IT EASY] The version take' above is too restrictive; it insists that the list actually have at least n elements. Modify the signature for the *real* take function so that the code below is accepted by LiquidHaskell:

```
take 0 _ = []
take _ [] = []
take n (x:xs) = x : take (n-1) xs
{-@ test5 :: [ListN String 2] @-}
test5 = [ take 2 ["cat", "dog", "mouse"]
       , take 20 ["cow", "goat"] ]
```

PARTITION As one last example, lets look at the function that partitions a list using a user supplied predicate:

```
partition _ [] = ([], [])
partition f (x:xs)
  | f x = (x:ys, zs)
  | otherwise = (ys, x:zs)
  where
     (ys, zs) = partition f xs
```

We would like to specify that the *sum* of the output tuple's dimensions equal the input list's dimension. Lets write measures to access the elements of the output:

```
{-@ measure first @-}
first (x, _) = x
{-@ measure second @-}
second (_, y) = y
```

We can use the above to type partition as

{-@ partition :: (a -> Bool) -> xs:\_ -> ListPair a (size xs) @-}

using an alias for a pair of lists whose total dimension equals N

{-@ type ListPair a N = {v:([a], [a]) | size (first v) + size (second v) = N} @-}

EXERCISE 6.5. [QUICKSORT] Use the partition function above to implement quickSort:

```
-- >> quickSort [1,4,3,2]

-- [1,2,3,4]

{-@ quickSort :: (Ord a) => xs:List a -> ListN a (size xs) @-}

quickSort [] = []

quickSort (x:xs) = undefined

{-@ test10 :: ListN String 2 @-}

test10 = quickSort test4
```

#### Dimension Safe Vector API

We can use the dimension aware lists to create a safe vector API.

LEGAL VECTORS are those whose vDim field actually equals the size of the underlying list:

```
{-@ data Vector a = V { vDim :: Nat
                            , vElts :: ListN a vDim }
    @-}
```

The refined data type prevents the creation of illegal vectors:

okVec = V 2 [10, 20] -- accepted by LH badVec = V 2 [10, 20, 30] -- rejected by LH

ACCESS Next, lets write some functions to access the elements of a vector:

```
{-@ vCons :: a -> x:Vector a -> {v:Vector a | vDim v = vDim x + 1} @-}
vCons x (V n xs) = V (n+1) (x:xs)
{-@ type VectorNE a = {v:Vector a | vDim v > 0} @-}
{-@ vHd :: VectorNE a -> a @-}
vHd (V _ (x:_)) = x
vHd _ = die "nope"
{-@ vTl :: x:VectorNE a -> {v:Vector a | vDim v = vDim x - 1} @-}
vTl (V n (_:xs)) = V (n-1) xs
vTl _ = die "nope"
```

**ITERATION** It is straightforward to see that:

{-@ for :: x:Vector a -> (a -> b) -> VectorN b (vDim x) @-}

BINARY OPERATIONS We want to apply various binary operations to *compatible* vectors, i.e. vectors with equal dimensions. To this end, it is handy to have an alias for vectors of a given size:

{-@ type VectorN a N = {v:Vector a | vDim v = N} @-}

We can now write a generic binary operator:

{-@ vBin :: (a -> b -> c) -> vx:Vector a -> vy:VectorN b (vDim vx) -> VectorN c (vDim vx) @-}
vBin :: (a -> b -> c) -> Vector a -> Vector b -> Vector c
vBin op (V n xs) (V \_ ys) = V n (zipWith op xs ys)

DOT PRODUCT Finally, we can implement a wholemeal, dimension safe dot product operator as:

```
{-@ dotProduct :: (Num a) => x:Vector a -> y:VectorN a (vDim x) -> a @-}
dotProduct x y = sum $ vElts $ vBin (*) x y
```

EXERCISE 6.6. [VECTOR CONSTRUCTOR] Complete the *specification* and *implementation* of vecFromList which *creates* a Vector from a plain old list.

```
vecFromList :: [a] -> Vector a
vecFromList xs = undefined
test6 = dotProduct vx vy -- should be accepted by LH
where
    vx = vecFromList [1,2,3]
    vy = vecFromList [4,5,6]
```

#### Dimension Safe Matrix API

The same methods let us create a dimension safe Matrix API which ensures that only legal matrices are created and that operations are performed on compatible matrices.

LEGAL MATRICES are those where the dimension of the outer vector equals the number of rows mRow and the dimension of each inner vector is mCol. We can specify legality in a refined data definition:

```
{-@ data Matrix a = M { mRow :: Pos
    , mCol :: Pos
    , mElts :: VectorN (VectorN a mCol) mRow
    }
  @-}
```

Notice that we avoid disallow degenerate matrices by requiring the dimensions to be positive.

{-@ type Pos = {v:Int | 0 < v} @-}

It is convenient to have an alias for matrices of a given size:

{-@ type MatrixN a R C = {v:Matrix a | mRow v = R && mCol v = C} @-}

after LiquidHaskell accepts:

ok23 = M 2 3 (V 2 [ V 3 [1, 2, 3] , V 3 [4, 5, 6] ])

EXERCISE 6.7. [LEGAL MATRIX] Modify the definitions of bad1 and bad2 so that they are legal matrices accepted by LiquidHaskell.

 EXERCISE 6.8. [MATRIX CONSTRUCTOR] \* Write a function to construct a Matrix from a nested list.

```
matFromList
               :: [[a]] -> Maybe (Matrix a)
matFromList []
                = Nothing
                                                 -- no meaningful dimensions!
matFromList xss@(xs:_)
  | ok
                = Just (M r c vs)
                = Nothing
  | otherwise
  where
    r
                = size xss
                 = size xs
    с
                 = undefined
    ok
    vs
                 = undefined
```

EXERCISE 6.9. [REFINED MATRIX CONSTRUCTOR] **\*\*** Refine the specification for matFromList so that the following is accepted by LiquidHaskell:

*Hint:* It is easy to specify the number of rows from xss. How will you figure out the number of columns? A measure may be useful.

MATRIX MULTIPLICATION Ok, lets now implement matrix multiplication. You'd think we did it already, but in fact the implementation at the top of this chapter is all wrong. Indeed, you cannot just multiply any two matrices: the number of *columns* of the first must equal to the *rows* of the second – after which point the result comprises the dotProduct of the rows of the first matrix with the columns of the second.

<sup>o</sup> You could run it of course, or you could just replace 'dotProd' with our type-safe 'dotProduct' and see what happens! where
elts = for xs \$ \xi ->
for ys' \$ \yj ->
dotProduct xi yj
M \_ \_ ys' = transpose my

TRANSPOSITION To iterate over the columns of my we just transpose it so the columns become rows.

```
-- >>> ok32 == transpose ok23

-- True

ok32 = M 3 2 (V 3 [ V 2 [1, 4]

, V 2 [2, 5]

, V 2 [3, 6] ])
```

EXERCISE 6.10. [MATRIX TRANSPOSITION] **\*\*** Use the Vector API to Complete the implementation of txgo. For inspiration, you might look at the implementation of Data.List.transpose from the prelude. Better still, don't.

```
{-@ transpose :: m:Matrix a -> MatrixN a (mCol m) (mRow m) @-}
transpose (M r c rows) = M c r $ txgo c r rows
{-@ txgo :: c:Nat -> r:Nat
          -> VectorN (VectorN a c) r
          -> VectorN (VectorN a r) c @-}
txgo c r rows = undefined
```

*Hint:* As shown by ok23 and ok32, transpose works by stripping out the heads of the input rows, to create the corresponding output rows.

## Recap

In this chapter, we saw how to use measures to describe numeric properties of structures like lists (Vector) and nested lists (Matrix). To recap:

- 1. Measures are *structurally recursive* functions, with a single equation per data constructor,
- 2. Measures can be used to create refined data definitions that prevent the creation of illegal values,
- 3. Measures can then be used to enable safe wholemeal programming, via dimension-aware APIs that ensure that operators only apply to compatible values.

#### 58 programming with refinement types

We can use numeric measures to encode various other properties of structures; in subsequent chapters we will see examples ranging from high-level height-balanced trees, to low-level safe pointer arithmetic.

## 7 Elemental Measures

Often, correctness requires us to reason about the *set of elements* represented inside a data structure, or manipulated by a function.

SETS appear everywhere. For example, we'd like to know that:

- *sorting* routines return permutations of their inputs i.e. return collections whose elements are the same as the input' set,
- *resource management* functions do not inadvertently create duplicate elements or drop elements from set of tracked resources.
- *syntax-tree* manipulating procedures create well-scoped trees where (the set of) used variables are (contained within the set of variables) previously defined.

SMT SOLVERS support rather expressive logics. In addition to the linear arithmetic and uninterpreted functions, they can efficiently decide formulas over sets. Next, lets see how LiquidHaskell lets us exploit this fact to develop types and interfaces that guarantee invariants over the (set of) elements of a structures.

## Talking about Sets

First, we need a way to talk about sets in the refinement logic. We could roll our own special Haskell type , but for now, lets just use the Set a type from the prelude's Data.Set.

LIFTED OPERATORS The LiquidHaskell prelude *lifts* the basic set operators from Data. Set into the refinement logic, i.e. defines the following logical functions that correspond to the Haskell functions of the same name:

measure empty :: Set a measure singleton :: a -> Set a ° See [this](http://goto.ucsd.edu/ rjhala/liquid/haskell/blog/b about-sets.lhs/) for a brief description of how to do so

measure	member	::	a -> Set a -> Bool
measure	union	::	Set a -> Set a -> Set a
measure	intersection	::	Set a -> Set a -> Set a
measure	difference	::	Set a -> Set a -> Set a

INTERPRETED OPERATORS The above operators are *interpreted* by the SMT solver. That is, just like the SMT solver "knows", via the axioms of the theory of arithmetic that:

$$x = 2 + 2 \Rightarrow x = 4$$

is a valid formula, i.e. holds for all *x*, the solver "knows" that:

 $x = (\text{singleton } 1) \Rightarrow y = (\text{singleton } 2) \Rightarrow x = (\text{intersection } x (\text{union } y x))$ 

This is because, the above formulas belong to a decidable Theory of Sets reduces to McCarthy's more general Theory of Arrays.

#### Proving QuickCheck Style Properties

To get the hang of whats going on, lets do a few warmup exercises, using LiquidHaskell to prove various simple "theorems" about sets and operations over them.

REFINED SET API To make it easy to write down theorems, we've refined the types of the operators in Data. Set so that they mirror their logical counterparts:

```
empty :: {v:Set a | v = empty}
singleton :: x:a -> {v:Set a | v = singleton x}
union :: x:Set a -> y:Set a -> {v:Set a | v = union x y}
intersection :: x:Set a -> y:Set a -> {v:Set a | v = intersection x y}
difference :: x:Set a -> y:Set a -> {v:Set a | v = difference x y}
member :: x:a -> s:Set a -> {v:Bool | Prop v <=> member x s}
```

ASSERTING PROPERTIES Lets write our theorems as QuickCheck style *properties*, that is, as functions from arbitrary inputs to a Bool output that must always be True. Lets define aliases for the singletons True and False:

{-@ type True = {v:Bool | Prop v } @-}
{-@ type False = {v:Bool | not (Prop v)} @-}

We can use True to state and prove theorems. For example, something (boring) like the arithmetic equality above becomes: ° See [this recent paper](http://research.microsoft.com/enus/um/people/leonardo/fmcado9.pdf) to learn how modern SMT solvers prove equalities like the above. {-@ prop\_one\_plus\_one\_eq\_two :: \_ -> True @-}
prop\_one\_plus\_one\_eq\_two x = (x == 1 + 1) `implies` (x == 2)

Where implies is just the implication function over Bool

```
{-@ implies :: p:_ -> q:_ -> {v:Bool | Prop v <=> (Prop p => Prop q)} @-}
implies False _ = True
implies _ True = True
implies _ _ = False
-- implies p q = not p || q
```

EXERCISE 7.1. [BOUNDED ADDITION] Write a QuickCheck style proof of the fact that  $x < 100 \land y < 100 \Rightarrow x + y < 200$ .

{-@ prop\_x\_y\_200 :: \_ -> \_ -> True @-} prop\_x\_y\_200 x y = False -- fill in the appropriate body to obtain the theorem.

INTERSECTION IS COMMUTATIVE Ok, lets prove things about sets and their operators! First, lets check that intersection is commutative:

```
{-@ prop_intersection_comm :: _ -> _ -> True @-}
prop_intersection_comm x y
= (x `intersection` y) == (y `intersection` x)
```

UNION IS ASSOCIATIVE Similarly, we might verify that union is associative:

{-@ prop\_intersection\_comm :: \_ -> \_ -> True @-}
prop\_union\_assoc x y z
= (x `union` (y `union` z)) == (x `union` y) `union` z

UNION DISTRIBUTES OVER INTERSECTION and while we're at it, check various distributivity laws of Boolean algebra:

NON-THEOREMS Of course, while we're at it, let's make sure Liquid-Haskell doesn't prove anything that *isn't* true ...

{-@ prop\_cup\_dif\_bad :: \_ -> \_ -> True @-}
prop\_cup\_dif\_bad x y

```
= pre `implies` (x == ((x `union` y) `difference` y))
where
pre = True -- Fix this with a non-trivial precondition
```

EXERCISE 7.2. [SET DIFFERENCE] Do you know why the above fails? 1. Use QuickCheck to find a *counterexample* for the property prop\_cup\_dif\_bad, and, 2. Use the counterexample to assign pre a non-trivial (i.e. non False) condition so that the property can be proved.

Thus, LiquidHaskell's refined types offer a nice interface for interacting with the SMT solvers in order to *prove* theorems, while letting us use QuickCheck to generate counterexamples.

```
Content-Aware List API
```

Our overall goal is to verify properties of programs. Lets start off by refining the list API to precisely track the list elements.

ELEMENTS OF A LIST To specify the permutation property, we need a way to talk about the set of elements in a list. At this point, hopefully you know what we're going to do: write a measure!

{-@ measure elems @-}
elems :: (Ord a) => [a] -> Set a
elems [] = empty
elems (x:xs) = singleton x `union` elems xs

STRENGTHENED CONSTRUCTORS Recall, that as before, the above definition automatically strengthens the types for the constructors:

```
data [a] where
[] :: {v:[a] | v = empty }
(:) :: x:a -> xs:[a] -> {v:[a] | elems v = union (singleton x) (elems xs)}
```

Next, to make the specifications concise, let's define a few predicate aliases:

```
{-@ predicate EqElts X Y = elems X = elems Y
                                                                      @-}
{-@ predicate SubElts X Y
                                                                       @-}
                           = Set_sub (elems X) (elems Y)
{-@ predicate DisjElts X Y = Set_empty 0 = Set_cap (elems X) (elems Y) @-}
{-@ predicate Empty X
                           = elems X = Set_empty 0
                                                                       @-}
{-@ predicate UnElts X Y Z = elems X = Set_cup (elems Y) (elems Z)
                                                                      @-}
{-@ predicate UnElt X Y Z = elems X = Set_cup (Set_sng Y) (elems Z)
                                                                      @-}
{-@ predicate Elem
                     X Y = Set_mem X (elems Y)
                                                                       @-}
```

° The [SBV](https://github.com/LeventErkok/sbv) and [Leon](http://lara.epfl.ch/w/leon) projects describe a different DSL based approach for using SMT solvers from Haskell and Scala respectively. APPEND First, here's good old append, but now with a specification that states that the output indeed includes the elements from both the input lists.

{-@ append' :: xs:[a] -> ys:[a] -> {v:[a] | UnElts v xs ys} @-}
append' [] ys = ys
append' (x:xs) ys = x : append' xs ys

EXERCISE 7.3. [REVERSE] Write down a type for revHelper so that reverse' is verified by LiquidHaskell:

```
{-@ reverse' :: xs:[a] -> {v:[a] | EqElts v xs} @-}
reverse' xs = revHelper [] xs
```

revHelper acc [] = acc revHelper acc (x:xs) = revHelper (x:acc) xs

EXERCISE 7.4. [PARTITION] \* Write down a specification for split such that the subsequent "theorem" prop\_partition\_appent is proved by LiquidHaskell.

```
split :: Int -> [a] -> ([a], [a])
split 0 xs = ([], xs)
split n (x:y:zs) = (x:xs, y:ys) where (xs, ys) = split (n-1) zs
split _ xs = ([], xs)
{-@ prop_split_append :: _ -> _ -> True @-}
prop_split_append n xs = elems xs == elems xs'
where
    xs' = append' ys zs
    (ys, zs) = split n xs
```

*Hint:* You may want to remind yourself about the "dimension-aware" signature for partition from the earlier chapter.

EXERCISE 7.5. [MEMBERSHIP] Write down a signature for elem that suffices to verify test1 and test2 by LiquidHaskell.

```
{-@ elem :: (Eq a) => a -> [a] -> Bool @-}
elem x (y:ys) = x == y || elem x ys
elem _ [] = False
{-@ test1 :: True @-}
test1 = elem 2 [1,2,3]
```

{-@ test2 :: False @-}
test2 = elem 2 [1,3]

#### Permutations

Next, lets use the refined list API to prove that various list-sorting routines return *permutations* of their inputs, that is, return output lists whose elements are the *same as* those of the input lists. Since we are focusing on the elements, lets not distract ourselves with the ordering invariant just, and reuse plain old lists.

INSERTIONSORT is the simplest of all the list sorting routines; we build up an (ordered) output list inserting each element of the input list into the appropriate position of the output:

```
insert x [] = [x]
insert x (y:ys)
  | x <= y = x : y : ys
  | otherwise = y : insert x ys
```

Thus, the output of insert has all the elements of the input xs, plus the new element x:

{-@ insert :: x:a -> xs:[a] -> {v:[a] | UnElt v x xs } @-}

Which then lets us prove that the output of the sorting routine indeed has the elements of the input:

```
{-@ insertSort :: (Ord a) => xs:[a] -> {v:[a] | EqElts v xs} @-}
insertSort [] = []
insertSort (x:xs) = insert x (insertSort xs)
```

EXERCISE 7.6. [MERGE] Write down a specification of merge such that the subsequent property is verified by LiquidHaskell:

```
{-@ merge :: xs:_ -> ys:_ -> {v:_ | UnElts v xs ys} @-}
merge (x:xs) (y:ys)
  | x <= y = x : merge xs (y:ys)
  | otherwise = y : merge (x:xs) ys
merge [] ys = ys
merge xs [] = xs
{-@ prop_merge_app :: _ -> _ -> True @-}
```

° See [this](http://goto.ucsd.edu/ rjhala/liquid/haskell/blog/b things-in-order.lhs/) for how to specify and verify order with plain old lists.

```
prop_merge_app xs ys = elems zs == elems zs'
where
zs = append' xs ys
zs' = merge xs ys
```

EXERCISE 7.7. [MERGESORT]  $\star\star$  Once you write the correct type for merge above, you should be able to prove the surprising signature for mergeSort below.

```
{-@ mergeSort :: (Ord a) => xs:[a] -> {v:[a] | Empty v} @-}
mergeSort [] = []
mergeSort xs = merge (mergeSort ys) (mergeSort zs)
where
  (ys, zs) = split mid xs
  mid = length xs `div` 2
```

First, make sure you are able verify the given signature. Next, obviously we don't want mergeSort to return the empty list, so there's a bug somewhere in the code. Find and fix it, so that you *cannot* prove that the output is empty, but *can* prove that EqElts v xs.

#### Uniqueness

Often, we want to enforce the invariant that a particular collection contains *no duplicates*; as multiple copies in a collection of file handles or system resources can create unpleasant leaks. For example, the XMonad window manager creates a sophisticated *zipper* data structure to hold the list of active user windows, and carefully maintains the invariant that there are no duplicates. Next, lets see how to specify and verify this invariant using LiquidHaskell, first for lists, and then for a simplified zipper.

SPECIFYING UNIQUENESS How would we even describe the fact that a list has no duplicates? There are in fact multiple different ways, but the simplest is a *measure*:

```
{-@ measure unique @-}
unique :: (Ord a) => [a] -> Bool
unique [] = True
unique (x:xs) = unique xs && not (member x (elems xs))
```

We can define an alias for duplicate-free lists

```
{-@ type UList a = {v:[a] | unique v }@-}
```

and then do a quick sanity check, that the right lists are indeed unique

```
{-@ isUnique :: UList Int @-}
isUnique = [1, 2, 3] -- accepted by LH
{-@ isNotUnique :: UList Int @-}
isNotUnique = [1, 2, 3, 1] -- rejected by LH
```

FILTER Lets write some functions that preserve uniqueness. For example, filter returns a subset of its elements. Hence, if the input was unique, the output is too:

```
{-@ filter :: _ -> xs:UList a -> {v: UList a | SubElts v xs} @-}
filter _ [] = []
filter f (x:xs)
    | f x = x : xs'
    | otherwise = xs'
    where
        xs' = filter f xs
```

EXERCISE 7.8. [REVERSE] \* When we reverse their order, the set of elements is unchanged, and hence unique (if the input was unique). Why does LiquidHaskell reject the below? Can you fix things so that we can prove that the output is a UList a?

```
{-@ reverse :: xs:UList a -> UList a @-}
reverse = go []
where
    {-@ go :: acc:[a] -> xs:[a] -> [a] @-}
    go a [] = a
    go a (x:xs) = go (x:a) xs
```

NUB One way to create a unique list is to start with an ordinary list and throw away elements that we have seen already.

```
nub xs = go [] xs
where
go seen [] = seen
go seen (x:xs)
| x `isin` seen = go seen xs
| otherwise = go (x:seen) xs
```

The key membership test is done by isin, whose output is True exactly when the element is in the given list.

```
{-@ isin :: x:_ -> ys:_ -> {v:Bool | Prop v <=> Elem x ys }@-}
isin x (y:ys)
    | x == y = True
    | otherwise = x `isin` ys
isin _ [] = False
```

EXERCISE 7.9. [APPEND] \* Why does appending two ULists not return a UList? Fix the type signature below so that you can prove that the output is indeed unique.

```
{-@ append :: UList a -> UList a -> UList a @-}
append [] ys = ys
append (x:xs) ys = x : append xs ys
```

EXERCISE 7.10. [RANGE] **\*\*** In the below range i j returns the list of all Int between i and j. Yet, LiquidHaskell refuses to acknowledge that the output is indeed a UList. Modify the specification and implementation, if needed, to obtain an equivalent of range which *provably* returns a UList Int.

## Unique Zippers

A zipper is an aggregate data stucture that is used to arbitrarily traverse the structure and update its contents. For example, a zipper for a list is a data type that contains an element (called focus) that we are currently focus-ed on, a list of elements to the left of (i.e. before) the focus, and a list of elements to the right (i.e. after) the focus.

```
data Zipper a = Zipper {
    focus :: a
    , left :: [a]
    , right :: [a]
}
```

° Which should be clear by now, if you did the exercise above ...

XMONAD is a wonderful tiling window manager, that uses a zipper to store the set of windows being managed. Xmonad requires the crucial invariant that the values in the zipper be unique, i.e. have no duplicates.

Refined Zipper

We can specify that all the values in the zipper are unique by refining the Zipper data declaration to express that both the lists in the structure are unique, disjoint, and do not include focus.

```
{-@ data Zipper a = Zipper {
    focus :: a
    , left :: {v: UList a | not (Elem focus v)}
    , right :: {v: UList a | not (Elem focus v) && DisjElts v left }
    } @-}
```

CONSTRUCTING ZIPPERS Our refined type makes *illegal states unrepresentable*; by construction, we will ensure that every Zipper is free of duplicates. Of course, it is straightforward to create a valid Zipper from a unique list:

```
{-@ differentiate :: UList a -> Maybe (Zipper a) @-}
differentiate [] = Nothing
differentiate (x:xs) = Just $ Zipper x [] xs
```

EXERCISE 7.11. [DECONSTRUCTING ZIPPERS] \* Dually, the elements of a unique zipper tumble out into a unique list. Strengthen the types of reverse and append above so that LiquidHaskell accepts the below signatures for integrate:

{-@ integrate :: Zipper a -> UList a @-}
integrate (Zipper x l r) = reverse l `append` (x : r)

SHIFTING FOCUS We can shift the focus element left or right while preserving the invariants:

```
focusLeft :: Zipper a -> Zipper a
focusLeft (Zipper t [] rs) = Zipper x xs [] where (x:xs) = reverse (t:rs)
focusLeft (Zipper t (1:ls) rs) = Zipper l ls (t:rs)
focusRight :: Zipper a -> Zipper a
focusRight = reverseZipper . focusLeft . reverseZipper
reverseZipper :: Zipper a -> Zipper a
reverseZipper (Zipper t ls rs) = Zipper t rs ls
```

FILTER Finally, using the filter operation on lists allows LiquidHaskell to prove that filtering a zipper also preserves uniqueness.

```
filterZipper :: (a -> Bool) -> Zipper a -> Maybe (Zipper a)
filterZipper p (Zipper f ls rs) = case filter p (f:rs) of
    f':rs' -> Just $ Zipper f' (filter p ls) rs' -- maybe move focus right
    [] -> case filter p ls of -- filter back left
    f':ls' -> Just $ Zipper f' ls' [] -- else left
    [] -> Nothing
```

## Recap

In this chapter, we saw how SMT solvers can let us reason precisely about the actual *contents* of data structures, via the theory of sets. We can

- Lift the set-theoretic primitives to (refined) Haskell functions from the Data. Set library,
- Use the functions to define measures like elems that characterize the contents of structures, and unique that describe high-level application specific properties.
- Use LiquidHaskell to then specify and verify that implementations enjoy various functional correctness properties, e.g. that sorting routines return permutations of their inputs, and various zipper operators preserve uniqueness.

Next, we present a variety of *case-studies* illustrating the techniques so far on particular application domains.

# 8 *Case Study: Associative Maps*

Recall the following from the introduction.

ghci> m ! "python"
"\*\*\* Exception: key is not in the map

The problem illustrated above is quite a pervasive one; associative maps pop up everywhere. Failed lookups are the equivalent of NullPointerDereference exceptions in languages like Haskell. It is rather difficult to use Haskell's type system to precisely characterize the behavior of associative map APIs as ultimately, this requires tracking the *dynamic set of keys* in the map.

In this case study, we'll see how to combine two techniques – measures for reasoning about the *sets* of elements in structures, and refined data types for reasoning about order invariants – can be applied to programs that use associative maps (e.g. Data.Map or Data.HashMap).

## Specifying Maps

Lets start by defining a *refined API* for Associative Maps that tracks the set of keys stored in the map, in order to statically ensure the safety of lookups.

TYPES First, we need an (currently abstract) type for Maps. As usual, lets parameterize the type with k for the type of keys and v for the type of values.

-- | Data Type data Map k v

KEYS To talk about the set of keys in a map, we will use a measure

measure keys :: Map k v -> Set k

that associates each Map to the Set of its defined keys. Next, we use the above measure, and the usual Set operators to refine the types of the functions that *create*, *add* and *lookup* key-value bindings, in order to precisely track, within the type system, the keys that are dynamically defined within each Map.

EMPTY Maps have no keys in them. Hence, we defined a predicate alias, NoKey and use it to type emp which is used to denote the empty Map:

```
emp :: {m:Map k v | NoKey m}
predicate NoKey M = keys M = Set_empty 0
```

ADD The function set takes a key k a value v and a map m and returns the new map obtained by extending m with the binding  $k \mapsto v$ . Thus, the set of keys of the output Map includes those of the input plus the singleton k, that is:

set :: (Ord k) => k:k -> v -> m:Map k v -> {n: Map k v | PlusKey k m n}

predicate PlusKey K M N = keys N = Set\_cup (Set\_sng K) (keys M)

QUERY Finally, queries will only succeed for keys that are defined a given Map. Thus, we define an alias:

predicate HasKey K M = Set\_mem K (keys M)

and use it to type mem which *checks* if a key is defined in the Map and get which actually returns the value associated with a given key.

-- | Check if key is defined
mem :: (Ord k) => k:k -> m:Map k v -> {v:Bool | Prop v <=> HasKey k m}
-- | Lookup key's value

get :: (Ord k) => k:k -> {m:Map k v | HasKey k m} -> v

## Using Maps: Well Scoped Expressions

Rather than jumping into the *implementation* of the above Map API, lets write a *client* that uses Maps to implement an interpreter for a
tiny language. In particular, we will use maps as an *environment* containing the values of *bound variables*, and we will use the refined API to ensure that *lookups never fail*, and hence, that well-scoped programs always reduce to a value.

EXPRESSIONS Lets work with a simple language with integer constants, variables, binding and arithmetic operators:

 Feel free to embellish the language with fancier features like functions, tuples etc.

VALUES We can use refinements to formally describe *values* as a subset of Expr allowing us to reuse a bunch of code. To this end, we simply define a (measure) predicate characterizing values:

```
{-@ measure val @-}
val :: Expr -> Bool
val (Const _) = True
val (Var _) = False
val (Plus _ _) = False
val (Let _ _ _) = False
```

and then we can use the lifted measure to define an alias for Val denoting values:

{-@ type Val = {v:Expr | val v} @-}

we can use the above to write simple *operators* on Val, for example:

```
{-@ plus :: Val -> Val -> Val @-}
plus (Const i) (Const j) = Const (i+j)
plus _ _ = die "Bad call to plus"
```

ENVIRONMENTS let us save values for the "local" i.e. *let-bound* variables; when evaluating an expression Var x we simply look up the value of x in the environment. This is why Maps were invented! Lets define our environments as Maps from Variables to Values:

{-@ type Env = Map Var Val @-}

The above definition essentially specifies, inside the types, an *eager* evaluation strategy: LiquidHaskell will prevent us from sticking unevaluated Exprs inside the environments.

EVALUATION proceeds via a straightforward recursion over the structure of the expression. When we hit a Var we simply query its value from the environment. When we hit a Let we compute the bound expression and tuck its value into the environment before proceeding within.

```
eval _ i@(Const _) = i
eval g (Var x) = get x g
eval g (Plus e1 e2) = plus (eval g e1) (eval g e2)
eval g (Let x e1 e2) = eval g' e2
where
    g' = set x v1 g
    v1 = eval g e1
```

The above eval seems rather unsafe; whats the guarantee that get x g will succeed? For example, surely trying:

ghci> eval emp (Var "x")

will lead to some unpleasant crash. Shouldn't we *check* if the variables is present and if not, fail with some sort of Variable Not Bound error? We could, but we can do better: we can prove at compile time, that such errors will not occur.

FREE VARIABLES are those whose values are *not* bound within an expression, that is, the set of variables that *appear* in the expression, but are not *bound* by a dominating Let. We can formalize this notion as a (lifted) function:

```
{-@ measure free @-}
free :: Expr -> (Set Var)
free (Const _) = empty
free (Var x) = singleton x
free (Plus e1 e2) = (free e1) `union` (free e2)
free (Let x e1 e2) = (free e1) `union` ((free e2) `difference` (singleton x))
```

AN EXPRESSION IS CLOSED with respect to an environment G if all the *free* variables in the expression appear in G, i.e. the environment contains bindings for all the variables in the expression that are *not* bound within the expression. As we've seen repeatedly, often a whole pile of informal handwaving, can be succinctly captured by a type definition that says the free variables in the Expr must be contained in the keys of the environment G:

```
{-@ type ClosedExpr G = {v:Expr | Subset (free v) (keys G)} @-}
```

CLOSED EVALUATION never goes wrong, i.e. we can ensure that eval will not crash with unbound variables, as long as it is invoked with suitable environments:

{-@ eval :: g:Env -> ClosedExpr g -> Val @-}

We can be sure an Expr is well-scoped if it has *no* free variables.Lets use that to write a "top-level" evaluator:

```
{-@ topEval :: {v:Expr | Empty (free v)} -> Val @-}
topEval = eval emp
```

EXERCISE 8.1. Complete the definition of the below function which *checks* if an Expr is well formed before evaluating it:

```
{-@ evalAny :: Env -> Expr -> Maybe Val @-}
evalAny g e
  | ok = Just $ eval g e
  | otherwise = Nothing
  where
        ok = undefined
```

Proof is all well and good, in the end, you need a few sanity tests to kick the tires. So:

```
tests = [v1, v2]
where
v1 = topEval e1 -- Rejected by LH
v2 = topEval e2 -- Accepted by LH
e1 = (Var x) `Plus` c1
e2 = Let x c10 e1
x = "x"
c1 = Const 1
c10 = Const 10
```

EXERCISE 8.2. [FUNCTIONS AND CLOSURES] **\*\*** Extend the language above to include functions. That is, extend

```
data Expr = ... | Fun Var Expr | App Expr Expr
```

Just focus on ensuring the safety of variable lookups; ensuring full type-safety (i.e. every application is to a function) is rather more complicated and beyond the scope of what we've seen so far.

### Implementing Maps: Binary Search Trees

We just saw how easy it is to *use* the Associative Map API to ensure the safety of lookups, even though the Map has a "dynamically" generated set of keys. Next, lets see how we can *implement* a Map library that respects the API using Binary Search Trees

DATA TYPE First, lets provide an implementation of the (hitherto abstract) data type for Map. We shall use Binary Search Trees, wherein, at each Node, the left (resp. right) subtree has keys that are less than (resp. greater than) the root key.

[Recall](#binarysearchtree) that the above refined data definition yields strengthened data constructors that statically ensure that only legal, *binary-search ordered* trees are created in the program.

DEFINED KEYS Next, we must provide an implementation of the notion of the keys that are defined for a given Map. This is achieved via the (lifted) measure function:

```
{-@ measure keys @-}
keys :: (Ord k) => Map k v -> Set k
keys Tip = empty
keys (Node k _ l r) = union (singleton k) (union (keys l) (keys r))
```

Armed with the basic type and measure definition, we can start to fill in the operations for Maps.

EXERCISE 8.3. [EMPTY MAPS] To make sure you are following, fill in the definition for an empty Map:

{-@ emp :: {m:Map k v | NoKey m} @-}
emp = undefined

EXERCISE 8.4. [INSERT] To add a key k' to a Map we recursively traverse the Map zigging left or right depending on the result of comparisons with the keys along the path. Unfortunately, the version below has an (all too common!) bug, and hence, is *rejected* by LiquidHaskell. Find and fix the bug so that the function is verified.

LOOKUP Next, lets write the mem function that returns the value associated with a key k'. To do so we just compare k' with the root key, if they are equal, we return the binding, and otherwise we go down the left (resp. right) subtree if sought for key is less (resp. greater) than the root key. Crucially, we want to check that lookup *never fails*, and hence, we implement the Tip (i.e. empty) case with die gets LiquidHaskell to prove that that case is indeed dead code, i.e. never happens at run-time.

UNFORTUNATELY the function above is *rejected* by LiquidHaskell. This is a puzzler (and a bummer!) because in fact it *is* correct. So what gives? Well, lets look at the error for the call get' k' 1

```
src/07-case-study-associative-maps.lhs:411:25: Error: Liquid Type Mismatch
Inferred type
VV : (Map a b) | VV == 1
not a subtype of Required type
VV : (Map a b) | Set_mem k' (keys VV)
In Context
VV : (Map a b) | VV == 1
k : a
1 : (Map a b)
k' : a
```

LiquidHaskell is *unable* to deduce that the the key k' definitely belongs in the left subtree 1. Well, lets ask ourselves: *why* must k' belong in the left subtree? From the input, we know HasKey k' m i.e. that k' is *somewhere* in m. That is *one of* the following holds:

- 1. k' == k or,
- 2. HasKey k' l or,
- 3. HasKey k'r.

As the preceding guard k' == k fails, we (and LiquidHaskell) can rule out case (1). Now, what about the Map tells us that case (2) must hold, i.e. that case (3) cannot hold? The *BST invariant*, all keys in r exceed k which itself exceeds k'. That is, all nodes in r are *disequal* to k' and hence k' cannot be in r, ruling out case (3). Formally, we need the fact that:

 $\forall$  key, t.t :: Map {key': k | key'  $\neq$  key}  $v \Rightarrow \neg$ (HasKey key t)

CONVERSION LEMMAS Unfortunately, LiquidHaskell *cannot automatically* deduce facts like the above, as they relate refinements of a container's *type parameters* (here:  $\text{key}' \neq \text{key}$ , which refines the Maps first type parameter) with properties of the entire container (here: HasKey key t). Fortunately, it is both easy to *state*, *prove* and *use* facts like the above.

DEFINING LEMMAS To state a lemma, we need only convert it into a type by viewing universal quantifiers as function parameters, and implications as function types: <sup>o</sup> Why not? This is tricky to describe. Intuitively, because there is no way of automatically connecting the \*traversal\* corresponding to 'keys' with the type variable 'k'. I wish I had a better way to explain this rather subtle point; suggestions welcome!

{-@ lemma\_notMem :: key:k -> m:Map {k:k | k /= key} v -> {v:Bool | not (HasKey key m)} @-}
lemma\_notMem \_ Tip = True
lemma\_notMem key (Node \_ \_ l r) = lemma\_notMem key l && lemma\_notMem key r

PROVING LEMMAS Note how the signature for lemma\_notMem corresponds exactly to the missing fact from above. The "output" type is a Bool refined with the proposition that we desire. We *prove* the lemma simply by *traversing* the tree which lets LiquidHaskell build up a proof for the output fact by inductively combining the proofs from the subtrees.

USING LEMMAS To use a lemma, we need to *instantiate* it to the particular keys and trees we care about, by "calling" the lemma function, and forcing its result to be in the *environment* used to typecheck the expression where we want to use the lemma. Say what? Here is a verified get:

get k' l
| otherwise = assert (lemma\_notMem k' l) \$
 get k' r
get \_ Tip = die "Lookup failed? Impossible."

By calling lemma\_notMem we create a dummy Bool that carries the desired refinement that tells LiquidHaskell that not (HasKey k' r) (resp. not (HasKey k' 1)). We force the calls to get k' 1 (resp. get k' r) to be typechecked using the materialized refinement by wrapping the calls within a function assert

assert  $_x = x$ 

GHOST VALUES This technique of materializing auxiliary facts via *ghost values* is a well known idea in the program verification literature. Usually, one has to take care to ensure that ghost computations do not interfere with the regular computations. If we had to actually *execute* lemma\_notMem it would totally wreck the efficient logarithmic lookup times as we'd traverse the entire tree all the time

LAZINESS comes to our rescue: as the ghost value is (trivially) not needed, it is never computed. In fact, it is straightforward to entirely *erase* the call in the compiled code, which lets us freely assert such lemmas to carry out proofs, without paying any runtime penalty. In an eager language we would have to do a bit of work to specifically mark the computation as a ghost or **irrelevant** but in the lazy setting we get this for free.

EXERCISE 8.5. [MEMBERSHIP TEST] Capisce? Fix the definition of mem so that it verifiably implements the given signature:

EXERCISE 8.6. [FRESH] **\*\*** To make sure you really understand this business of ghosts values and proofs, complete the implementation of the following function which returns a fresh integer that is *distinct* from all the values in its input list:

 Assuming we kept the trees balanced
 Which is what makes dynamic contract checking [rather slow](findlercontract) for such invariants {-@ fresh :: xs:[Int] -> {v:Int | not (Elem v xs)} @-}
fresh = undefined

To refresh your memory, here are the definitions for Elem we saw earlier

```
{-@ predicate Elem X Ys = Set_mem X (elems Ys) @-}
{-@ measure elems @-}
elems [] = empty
elems (x:xs) = (singleton x) `union` (elems xs)
```

## Recap

In this chapter we saw how to combine several of the techniques from previous chapters in a case study. We learnt how to:

- 1. **Define** an API for associative maps that used refinements to track the *set* of keys stored in a map, in order to prevent lookup failures, the NullPointerDereference errors of the functional world,
- 2. Use the API to implement a small interpreter that is guaranteed to never fail with UnboundVariable errors, as long as the input expressions were closed,
- 3. **Implement** the API using Binary Search Trees; in particular, using *ghost lemmas* to assert facts that LiquidHaskell is otherwise unable to deduce automatically.

# 9 Case Study: Pointers and ByteStrings

A large part of the allure of Haskell is its elegant, high-level ADTs that ensure that programs won't be plagued by problems like the infamous SSL heartbleed bug. However, another part of Haskell's charm is that when you really really need to, you can drop down to low-level pointer twiddling to squeeze the most performance out of your machine. But of course, that opens the door to the heartbleeds.

Wouldn't it be nice to have have our cake and eat it too? Wouldn't it be great if we could twiddle pointers at a low-level and still get the nice safety assurances of high-level types? Lets see how Liquid-Haskell lets us have our cake and eat it too.

HeartBleeds in Haskell

MODERN LANGUAGES like Haskell are ultimately built upon the foundation of C. Thus, implementation errors could open up unpleasant vulnerabilities that could easily slither past the type system and even code inspection. As a concrete example, lets look at a a function that uses the ByteString library to truncate strings:

```
chop' :: String -> Int -> String
chop' s n = s'
where
b = pack s -- down to low-level
b' = unsafeTake n b -- grab n chars
s' = unpack b' -- up to high-level
```

First, the function packs the string into a low-level bytestring b, then it grabs the first n Characters from b and translates them back into a high-level String. Lets see how the function works on a small test:

ghci> let ex = "Ranjit Loves Burritos"

° Assuming, of course, the absence of errors in the compiler and run-time...

We get the right result when we chop a *valid* prefix:

ghci> chop' ex 10 "Ranjit Lov"

But, as illustrated in fig. 9.1, the machine silently reveals (or more colorfully, *bleeds*) the contents of adjacent memory or if we use an *invalid* prefix:

ghci> heartBleed ex 30
"Ranjit Loves Burritos\NUL\201\&1j\DC3\SOH\NUL"



Figure 9.1: Can we prevent the program from leaking 'secret's?

TYPES AGAINST OVERFLOWS Now that we have stared the problem straight in the eye, look at how we can use LiquidHaskell to prevent the above at compile time. To this end, we decompose the system into a hierarchy of levels (i.e. modules). Here, we have three levels:

- 1. *Machine* level Pointers
- 2. Library level ByteString
- 3. User level Application

Our strategy, as before, is to develop an *refined API* for each level such that errors at each level are prevented by using the typed interfaces for the lower levels. Next, lets see how this strategy lets us safely manipulate pointers.

## Low-level Pointer API

To get started, lets look at the low-level pointer API that is offered by GHC and the run-time. First, lets see who the *dramatis personae* are and how they might let heartbleeds in. Then we will see how to batten down the hatches with LiquidHaskell.

POINTERS are an (abstract) type Ptr a implemented by GHC.

- -- | A value of type `Ptr a` represents a pointer to an object,
- -- or an array of objects, which may be marshalled to or from
- -- Haskell values of type `a`.

data Ptr a

FOREIGN POINTERS are *wrapped* pointers that can be exported to and from C code via the Foreign Function Interface.

data ForeignPtr a

To CREATE a pointer we use mallocForeignPtrBytes n which creates a Ptr to a buffer of size n and wraps it as a ForeignPtr

mallocForeignPtrBytes :: Int -> ForeignPtr a

To UNWRAP and actually use the ForeignPtr we use

withForeignPtr :: ForeignPtr a -- pointer -> (Ptr a -> IO b) -- action -> IO b -- result

That is, withForeignPtr fp act lets us execute a action act on the actual Ptr wrapped within the fp. These actions are typically sequences of *dereferences*, i.e. reads or writes.

To DEREFERENCE a pointer, i.e. to read or update the contents at the corresponding memory location, we use peek and poke respectively.

peek :: Ptr a -> IO a -- Read poke :: Ptr a -> a -> IO () -- Write

FOR FINE GRAINED ACCESS we can directly shift pointers to arbitrary offsets using the *pointer arithmetic* operation plusPtr p off which takes a pointer p an integer off and returns the address obtained shifting p by off:

plusPtr :: Ptr a -> Int -> Ptr b

EXAMPLE That was rather dry; lets look at a concrete example of how one might use the low-level API. The following function allocates a block of 4 bytes and fills it with zeros:

```
zero4 = do fp <- mallocForeignPtrBytes 4
    withForeignPtr fp $ \p -> do
    poke (p `plusPtr` 0) zero
    poke (p `plusPtr` 1) zero
    poke (p `plusPtr` 2) zero
    poke (p `plusPtr` 3) zero
    return fp
    where
    zero = 0 :: Word8
```

<sup>o</sup> We elide the Storable type class constraint to strip this presentation down to the absolute essentials. While the above is perfectly all right, a small typo could easily slip past the type system (and run-time!) leading to hard to find errors:

```
zero4' = do fp <- mallocForeignPtrBytes 4
withForeignPtr fp $ \p -> do
    poke (p `plusPtr` 0) zero
    poke (p `plusPtr` 1) zero
    poke (p `plusPtr` 2) zero
    poke (p `plusPtr` 2) zero
    poke (p `plusPtr` 8) zero
    return fp
where
    zero = 0 :: Word8
```

### A Refined Pointer API

Wouldn't it be great if we had an assistant to helpfully point out the error above as soon as we *wrote* it? We will use the following strategy to turn LiquidHaskell into such an assistant:

- 1. Refine pointers with allocated buffer size,
- 2. Track sizes in pointer operations,
- 3. Enforce pointer are valid at reads and writes.

To REFINE POINTERS with the *size* of their associated buffers, we can use an *abstract measure*, i.e. a measure specification *without* any underlying implementation.

-- | Size of `Ptr`
measure plen :: Ptr a -> Int
-- | Size of `ForeignPtr`
measure fplen :: ForeignPtr a -> Int

It is helpful to define aliases for pointers of a given size N:

type PtrN a N = {v:Ptr a | plen v = N} type ForeignPtrN a N = {v:ForeignPtr a | fplen v = N}

ABSTRACT MEASURES are extremely useful when we don't have a concrete implementation of the underlying value, but we know that the value *exists*. Here, we don't have the value – inside Haskell – because the buffers are manipulated within C. However, this is no cause for alarm as we will simply use measures to refine the API, not to perform any computations.

#### HEREHEREHERE

° In Vim or Emacs, you'd see the error helpfully underlined.

<sup>o</sup> This is another example of a *ghost* specification.

To REFINE ALLOCATION we stipulate that the size parameter be non-negative, and that the returned pointer indeed refers to a buffer with exactly n bytes:

mallocForeignPtrBytes :: n:Nat -> ForeignPtrN a n

To REFINE UNWRAPPING we specify that the *action* gets as input, an unwrapped Ptr whose size *equals* that of the given ForeignPtr.

```
withForeignPtr :: fp:ForeignPtr a
    -> (PtrN a (fplen fp) -> IO b)
    -> IO b
```

This is a rather interesting *higher-order* specification. Consider a call withForeignPtr fp act. If the act requires a Ptr whose size *exceeds* that of fp then LiquidHaskell will flag a (subtyping) error indicating the overflow. If instead the act requires a buffer of size less than fp then via contra-variant function subtyping, the input type of act will be widened to the large size, and the code will be accepted.

TO REFINE READS AND WRITES we specify that they can only be done if the pointer refers to a non-empty (remaining) buffer. That is, we define an alias:

type OkPtr a = {v:Ptr a | 0 < plen v}</pre>

that describes pointers referring to *non-empty* buffers (of strictly positive plen), and then use the alias to refine:

peek :: 0kPtr a -> IO a
poke :: 0kPtr a -> a -> IO ()

In essence the above type says that no matter how arithmetic was used to shift pointers around, when the actual dereference happens, the size "remaining" after the pointer must be non-negative (so that a byte can be safely read from or written to the underlying buffer.)

To REFINE THE SHIFT operations, we simply check that the pointer *remains* within the bounds of the buffer, and update the plen to reflect the size remaining after the shift:

 This signature precludes "left" or "backward" shifts; for that there is an analogous 'minusPtr' which we elide for simplicity

plusPtr :: p:Ptr a -> off:NatLE (plen p) -> PtrN b (plen p - off)

using the alias NatLE, defined as:

type NatLE N = {v:Nat |  $v \le N$ }

TYPES PREVENT OVERFLOWS Lets revisit the zero-fill example from above to understand how the refinements help detect the error:

```
exBad = do fp <- mallocForeignPtrBytes 4
    withForeignPtr fp $ \p -> do
        poke (p `plusPtr` 0) zero
        poke (p `plusPtr` 1) zero
        poke (p `plusPtr` 2) zero
        poke (p `plusPtr` 5) zero
        return fp
    where
        zero = 0 :: Word8
```

Lets read the tea leaves to understand the above error:

```
Error: Liquid Type Mismatch
Inferred type
  VV : {VV : Int | VV == ?a && VV == 5}
not a subtype of Required type
  VV : {VV : Int | VV <= plen p}</pre>
in Context
  zero : {zero : Word8 | zero == ?b}
       : {VV : Int | VV == ?a && VV == (5 : int)}
  VV
      : {fp : ForeignPtr a | fplen fp == ?c && 0 <= fplen fp}
  fp
       : {p : Ptr a | fplen fp == plen p && ?c <= plen p && ?b <= plen p && zero <= plen p}
  р
      : {?a : Int | ?a == 5}
  ?a
  ?c
      : {?c : Int | ?c == 4}
  ?b
      : {?b : Integer | ?b == 0}
```

The error says we're bumping p up by VV == 5 using plusPtr but the latter *requires* that bump-offset be within the size of the buffer referred to by p, i.e.  $VV \leq plen p$ . Indeed, in this context, we have:

```
p : {p : Ptr a | fplen fp == plen p && ?c <= plen p && ?b <= plen p && zero <= plen p}
fp : {fp : ForeignPtr a | fplen fp == ?c && 0 <= fplen fp}</pre>
```

that is, the size of p, namely plen p equals the size of fp, namely fplen fp (thanks to the withForeignPtr call), and finally the latter is equal to ?c which is 4 bytes. Thus, since the offset 5 is not less than the buffer size 4, LiquidHaskell cannot prove that the call to plusPtr is safe, hence the error. <sup>o</sup> The alert reader will note that we have strengthened the type of 'plusPtr' to prevent the pointer from wandering outside the boundary of the buffer. We could instead use a weaker requirement for 'plusPtr' that omits this requirement, and instead have the error be flagged when the pointer was used to read or write memory.

#### Assumptions vs Guarantees

At this point you ought to wonder: where is the *code* for peek, poke or mallocForeignPtrBytes and so on? How can we know that the types we assigned to them are in fact legitimate?

FRANKLY, WE CANNOT as those functions are *externally* implemented (in this case, in C), and hence, invisible to the otherwise all-seeing eyes of LiquidHaskell. Thus, we are *assuming* or *trusting* that those functions behave according to their types. Put another way, the types for the low-level API are our *specification* for what low-level pointer safety. We shall now *guarantee* that the higher level modules that build upon this API in fact use the low-level function in a manner consistent with this specification.

Assumptions ARE A FEATURE and not a bug, as they let us to verify systems that use some modules for which we do not have the code. Here, we can *assume* a boundary specification, and then *guarantee* that the rest of the system is safe with respect to that specification.

## ByteString API

Next, lets see how the low-level API can be used to implement to implement ByteStrings, in a way that lets us perform fast string operations without opening the door to overflows.

A BYTESTRING is implemented as a record

```
data ByteString = BS {
    bPtr :: ForeignPtr Word8
, bOff :: !Int
, bLen :: !Int
}
```

comprising

- a *pointer* bPtr to a contiguous block of memory,
- an *offset* b0ff that denotes the position inside the block where the string begins, and
- a *length* bLen that denotes the number of bytes (from the offset) that belong to the string.

These entities are illustrated in Figure~9.2; the green portion represents the actual contents of a particular ByteString. This representation makes it possible to implement various operations like

<sup>o</sup> If we so desire, we can also *check* the boundary specifications at runtime, but that is outside the scope of LiquidHaskell.



Figure 9.2: Representing ByteStrings in memory.

computing prefixes and suffixes extremely quickly, simply by pointer arithmetic.

IN A LEGAL BYTESTRING the *start* (b0ff) and *end* (b0ff + bLen) offsets lie inside the buffer referred to by the pointer bPtr. We can formalize this invariant with a data definition that will then make it impossible to create illegal ByteStrings:

```
{-@ data ByteString = BS {
    bPtr :: ForeignPtr Word8
, bOff :: {v:Nat| v <= fplen bPtr}
, bLen :: {v:Nat| v + bOff <= fplen bPtr}
}
@-}</pre>
```

The refinements on b0ff and bLen correspond exactly to the legality requirements that the start and end of the ByteString be *within* the block of memory referred to by bPtr.

FOR BREVITY lets define an alias for ByteStrings of a given size:

{-@ type ByteStringN N = {v:ByteString | bLen v = N} @-}

LEGAL BYTESTRINGS can be created by directly using the constructor, as long as we pass in suitable offsets and lengths. For example,

```
{-@ good1 :: IO (ByteStringN 5) @-}
good1 = do fp <- mallocForeignPtrBytes 5
            return (BS fp 0 5)</pre>
```

creates a valid ByteString of size 5; however we need not start at the beginning of the block, or use up all the buffer, and can instead do:

{-@ good2 :: IO (ByteStringN 2) @-}
good2 = do fp <- mallocForeignPtrBytes 5
 return (BS fp 3 2)</pre>

Note that the length of good2 is just 2 which is *less than* allocated size 5.

ILLEGAL BYTESTRINGS are rejected by LiquidHaskell. For example, bad1's length is rather more than the buffer size, and is flagged as such:

```
bad1 = do fp <- mallocForeignPtrBytes 3
    return (BS fp 0 10)</pre>
```

Similarly, bad2 does have 2 bytes but not if we start at the offset of 2:

```
bad2 = do fp <- mallocForeignPtrBytes 3
    return (BS fp 2 2)</pre>
```

EXERCISE 9.1. [FIX THE BYTESTRING] Modify the definitions of bad1 and bad2 so they are *accepted* by LiquidHaskell.

TO FLEXIBLY BUT SAFELY CREATE a ByteString the implementation defines a higher order create function, that takes a size n and accepts a fill action, and runs the action after allocating the pointer. After running the action, the function tucks the pointer into and returns a ByteString of size n.

```
{-@ create :: n:Nat -> (Ptr Word8 -> IO ()) -> ByteStringN n @-}
create n fill = unsafePerformIO $ do
    fp <- mallocForeignPtrBytes n
    withForeignPtr fp fill
    return (BS fp 0 n)</pre>
```

EXERCISE 9.2. [CREATE] \* Why does LiquidHaskell *reject* the following function that creates a ByteString corresponding to "GHC"?

```
bsGHC = create 3 $ \p -> do
poke (p `plusPtr` 0) (c2w 'G')
poke (p `plusPtr` 1) (c2w 'H')
poke (p `plusPtr` 2) (c2w 'C')
```

*Hint:* The function writes into 3 slots starting at p. How big should plen p be to allow this? What type does LiquidHaskell infer for p above? Does it meet the requirement? Which part of the *specification* or *implementation* needs to be modified so that the relevant information about p becomes available within the do-block above? Make sure you figure out the above before proceeding.

To 'PACK' a String into a ByteString we simply call create with the appropriate fill action:

pack str	=	create' n \$ \p -> go p xs
where		
n	=	length str
XS	=	map c2w str
go p (x:xs)	=	<pre>poke p x &gt;&gt; go (plusPtr p 1) xs</pre>
go _ []	=	return ()

EXERCISE 9.3. [PACK] We can compute the size of a ByteString by using the function:

Fix the specification for pack so that (it still typechecks!) and furthermore, the following QuickCheck style *property* is proved by LiquidHaskell:

```
{-@ prop_pack_length :: [Char] -> {v:Bool | Prop v} @-}
prop_pack_length xs = bLen (pack xs) == length xs
```

*Hint:* Look at the type of length, and recall that len is a numeric measure denoting the size of a list.

THE MAGIC OF INFERENCE ensures that pack just works. Notice there is a tricky little recursive loop go that is used to recursively fill in the ByteString and actually, it has a rather subtle type signature that LiquidHaskell is able to automatically infer.

EXERCISE 9.4.  $\star$  Still, we're here to learn, so can you *write down* the type signature for the loop so that the below variant of pack is accepted by LiquidHaskell (Do this *without* cheating by peeping at the type inferred for go above!)

```
packEx str = create' n $ \p -> pLoop p xs
where
n = length str
xs = map c2w str
{-@ pLoop :: (Storable a) => p:Ptr a -> xs:[a] -> IO () @-}
pLoop p (x:xs) = poke p x >> pLoop (plusPtr p 1) xs
pLoop _ [] = return ()
```

*Hint:* Remember that len xs denotes the size of the list xs.

EXERCISE 9.5. ['UNSAFETAKE' AND 'UNSAFEDROP'] respectively extract the prefix and suffix of a ByteString from a given position.

<sup>o</sup> The code uses 'create'' which is just 'create' with the \*correct\* signature in case you want to skip the previous exercise. (But don't!) They are really fast since we only have to change the offsets. But why does LiquidHaskell reject them? Can you fix the specifications so that they are accepted?

```
{-@ unsafeTake :: n:Nat -> b:ByteString -> ByteStringN n @-}
unsafeTake n (BS x s _) = BS x s n
{-@ unsafeDrop :: n:Nat -> b:ByteString -> ByteStringN {bLen b - n} @-}
unsafeDrop n (BS x s 1) = BS x (s + n) (1 - n)
```

Hint: Under what conditions are the returned ByteStrings legal?

To 'UNPACK' a ByteString into a plain old String, we essentially run pack in reverse, by walking over the pointer, and reading out the characters one by one till we reach the end:

```
unpack :: ByteString -> String
unpack (BS _ _ 0) = []
unpack (BS ps s l) = unsafePerformI0 $ withForeignPtr ps $ \p ->
go (p `plusPtr` s) (l - 1) []
where
    {-@ go :: p:_ -> n:_ -> acc:_ -> IO {v:_ | true } @-}
go p 0 acc = peek p >>= \e -> return (w2c e : acc)
go p n acc = peek (p `plusPtr` n) >>= \e -> go p (n-1) (w2c e : acc)
```

EXERCISE 9.6. [UNPACK] \* Fix the specification for unpack so that the below QuickCheck style property is proved by LiquidHaskell.

```
{-@ prop_unpack_length :: ByteString -> {v:Bool | Prop v} @-}
prop_unpack_length b = bLen b == length (unpack b)
```

*Hint:* You will also have to fix the specification of the helper go. Can you determine the output refinement should be (instead of just true?) How *big* is the output list in terms of p, n and acc.

## Application API

Finally, lets revisit our potentially "bleeding" chop function to see how the refined ByteString API can prevent errors. The signature specifies that the prefix size n must be less than the size of the input string s.

```
{-@ chop :: s:String -> n:NatLE (len s) -> String @-}
chop s n = s'
where
b = pack s -- down to low-level
b' = unsafeTake n b -- grab n chars
s' = unpack b' -- up to high-level
```

OVERFLOWS ARE PREVENTED by LiquidHaskell, as it rejects calls to chop where the prefix size is too large (which is what led to the overflow that spilled the contents of memory after the string, as illustrated in Figure~9.1). Thus, in the code below, the first use of chop which defines ex6 is accepted as 6 <= len ex but the second call is rejected because 30 > len ex.

```
demo = [ex6, ex30]
where
ex = ['L','I','Q','U','I','D']
ex6 = chop ex 6 -- accepted by LH
ex30 = chop ex 30 -- rejected by LH
```

EXERCISE 9.7. [CHOP] Fix the specification for chop so that the following property is proved:

## Nested ByteStrings

For a more in-depth example, let's take a look at group, which transforms strings like

`"foobaaar"`

into lists of strings like

```
`["f","oo", "b", "aaa", "r"]`.
```

The specification is that group should produce a

- 1. list of *non-empty* ByteStrings,
- 2. the *sum of* whose lengths equals that of the input string.

NON-EMPTY BYTESTRINGS are those whose length is non-zero:

#### {-@ type ByteStringNE = {v:ByteString | bLen v /= 0} @-}

We can use these to define enrich the ByteString API with a null check

```
{-@ null :: b:ByteString -> {v:Bool | Prop v <=> bLen b == 0} @-}
null (BS _ 1) = 1 == 0
```

This check is used to determine if it is safe to extract the head and tail of the ByteString. Notice how we can use refinements to ensure the safety of the operations, and also track the sizes.

° 'peekByteOff p i' is equivalent to 'peek (plusPtr p i)'

THE 'GROUP' function recursively calls spanByte to carve off the next group, and then returns the accumulated results:

The first requirement, that the groups be non-empty is captured by the fact that the output is a [ByteStringNE]. The second requirement, that the sum of the lengths is preserved, is expressed by a writing a numeric measure:

```
{-@ measure bLens @-}
bLens :: [ByteString] -> Int
bLens [] = 0
bLens (b:bs) = bLen b + bLens bs
```

'SPANBYTE' does a lot of the heavy lifting. It uses low-level pointer arithmetic to find the *first* position in the ByteString that is different from the input character c and then splits the ByteString into a pair comprising the prefix and suffix at that point.

LiquidHaskell infers that 0 <= i <= 1 and therefore that all of the memory accesses are safe. Furthermore, due to the precise specifications given to unsafeTake and unsafeDrop, it is able to prove that the output pair's lengths add up to the size of the input ByteString.

{-@ type ByteString2 B = {v:\_ | bLen (fst v) + bLen (snd v) = bLen B} @-}

## Recap: Types Against Overflows

In this chapter we saw a case study illustrating how measures and refinements enable safe low-level pointer arithmetic in Haskell. The take away messages are:

- 1. larger systems are *composed of* layers of smaller ones,
- 2. we can write refined APIs for each layer,
- 3. that can be used to inform the *design* and ensure *correctness* of the layers above.

We saw this in action by developing a low-level Pointer API, using it to implement fast ByteStrings API, and then building some higher-level functions on top of the ByteStrings.

THE TRUSTED COMPUTING BASE in this approach includes exactly those layers for which the code is *not* available, for example, because they are implemented outside the language and accessed via the FFI as with mallocForeignPtrBytes and peek and poke. In this case, we can make progress by *assuming* the APIs hold for those layers and verify the rest of the system with respect to that API. It is important to note that in the entire case study, it is only the above FFI signatures that are *trusted*; the rest are all verified by LiquidHaskell.